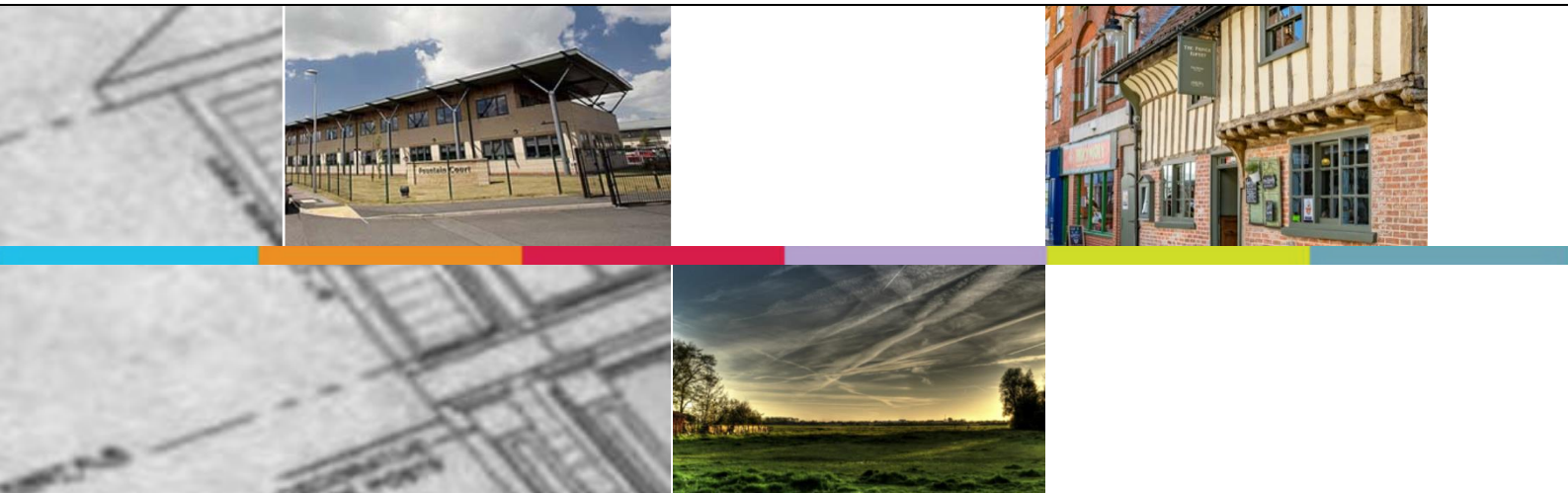


# Planning Application Local Validation Checklist

## Adopted xxxx 2021

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Please visit our website for further you online guide to planning - [www.newark-sherwooddc.gov.uk/planning/](http://www.newark-sherwooddc.gov.uk/planning/)

This checklist was adopted by Planning Committee on xxx, following public consultation between 1<sup>st</sup> March and 12 April 2021 with professional agents, consultees, Members, Town and Parish Councils, neighbours to planning proposals and via the website. This document has been amended to take account of responses where they related to the adoption of the checklist.

Welcome to the new look local validation manual for planning applications. The manual has been reviewed to make it easier to use, in order to get started simply click on the type of development that you want to know more about.

We have also put together a quick and easy to use 'matrix' showing the requirements for development type.

When making a planning application it is vital that it is supported by adequate and accurate information to enable the council, members of the public and other statutory bodies to understand the proposals, and allow a proper assessment of the potential impact of the development.

**Planning applications which are not submitted with the correct information as stated within these pages may be treated as invalid and will not be processed until such time as the required information has been submitted.**

If an application is found to be invalid the Receiving Officer will contact the applicant or agent by letter/email specifying the details required to validate the application with a reply date of 21 working days. If after 21 working days no further correspondence has been received, a follow up letter/email will be issued giving a further 7 working days.

After 7 days from date of the second letter/email, if either the required information has not been received or written confirmation of when the information will be submitted has not been received the application and any fees associated will be returned minus any administration fee – minimum of £25.00 or 5% of total fee whichever is higher.

Please note that in exceptional circumstances, further information for a specific application, above and beyond the requirements of the contents of these pages, maybe required. If this is the case one of our officers will be in contact with you directly to discuss this matter.

If you feel that the requested validation information does not meet the requirements set out in the Town and Country Planning (Development Management Procedure) (England) Order 2015, then you have a right of appeal for non-determination.

If your application is found to be invalid, The Order allows you to send an "Article 10A" notice which must:

- i. set out which information or evidence you as the applicant either consider to be a reasonable requirement for the scale and nature of the development proposal or are not concerned with a matter which it is reasonable to think will be material in the determination of the application
- ii. state the reasons you as the applicant hold that view
- iii. request we waive the requirement(s)

Once we have received your application we will notify you of the decision within 8 weeks, although for major this timescale is extended to 13 weeks.

Planning application documents are published on our website, however before publishing we are required by the General Data Protection Regulations (GDPR), related legislations and best practice to remove 'personal information'.

The following information is asked for on an application form:

- telephone number

- email address
- signatures

We will endeavour to remove this personal information before publishing. Telephone numbers and email addresses relating to professional agents will not be removed unless this is specifically requested.

There are occasions when other personal information is submitted within supporting documents, this personal information can include:

- financial information (except in the case of viability assessments)
- car registration plates
- photos of individuals
- a person's age
- physical or mental health information
- views on other individuals - such as their health or anything defamatory or libellous
- how long a person has resided at a property
- a person's employment history
- criminal record - including alleged offences
- children
- racial or ethnic origin
- religious or other beliefs
- political opinions
- membership of a trade union
- sexual orientation or how a person identifies
- and any other information which may identify an individual person or persons

Even if the applicant, or a person making comments on an application, wants the personal information to be published online, we must endeavour to remove it.

Therefore when submitting an application or comments please can you make sure that the above personal information is only submitted if it is a planning consideration, for example financial information which may support a change of use application from a business to a dwelling to show that a business is not viable or information to show that a fee is not required as the proposed development is for the sole use of a disabled person. We will endeavour to make sure this latter information is not published online.

If you submit personal information as set out above please can you ensure that it is either referred to in a covering letter - or contained within a separate document to aid identification and removal from the documents that will be published on-line.

If you require any assistance in this regard, please email [planning@newark-sherwooddc.gov.uk](mailto:planning@newark-sherwooddc.gov.uk)

Whilst the local validation checklist has been prepared in line with Section 62(3) of the Town and Country Planning Act and the Town and Country Planning (Development Management Procedure) (England) Order 2015 and only applies to applications for planning permission, many applicants for other permissions – prior approval, listed building and advertisement consent for example will never have submitted an application previously. This checklist therefore has been drafted to assist such applications.

### **Applications from Council Members or Staff**

If the application is from or on behalf an elected member or any employee of the Council or Senior officer (currently comprising Corporate Management Team and Business Managers) or any officer who may have a direct involvement in the determination of the application, the application is required to be determined at Planning Committee. Most planning application forms will require you to identify this - however should this apply to your application please can you also refer to it in your covering letter.

This document is set out in five parts:

Part 1 - National Requirements;

Part 2 - Local Requirement Validation Checklist 2021;

Part 3 - Statements and Reports;

Part 4 - Application Types; and

Part 5 - Development Types

### **Procedure for Reviewing Local Validation List**

With regard to the review and adoption of a local validation list, CLG guidance formally withdrawn in 2014, recommends a consultation period of not less than 8 weeks. This has now been replaced by guidance in the NPPG.

The current process is set out in paragraph 44 of the NPPG and involves the following three-step process:

#### **Step 1: Reviewing the existing local list**

Local planning authorities should identify the drivers for each item on their existing local list of information requirements. These drivers should be statutory requirements, policies in the National Planning Policy Framework or development plan, or published guidance that explains how adopted policy should be implemented.

Having identified their information requirements, local planning authorities should decide whether they need to revise their existing local list. Where a local planning authority decides that no changes are necessary, it should publish an announcement to this effect on its website and republish its local list.

#### **Step 2: Consulting on proposed changes**

Where a local planning authority considers that changes are necessary, the proposals should be issued to the local community, including applicants and agents, for consultation.

#### **Step 3: Finalising and publishing the revised local list**

Consultation responses should be taken into account by the local planning authority when preparing the final revised list. The revised local list should be published on the local planning authority's website. With regard to Newark's Validation List, several changes to legislation and procedure especially the advent of the NPPF and associated NPPG and the DMPO provide an opportune moment to update and validation list.

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## Part 1 – National Requirements

### Statutory National Validation Requirements

The Town and Country Planning (Development Management Procedure) (England) Order 2015 (DMPO) outlines what the mandatory national requirements are. This section provides more information on what should be included under the following headings:

- Application form
- Certificates
- Site location plan
- Site layout plan
- Detailed drawings (where applicable)
- Design and access statement (where applicable)
- Application fee (where applicable).

Please note that some requirements within this section are beyond what is set out nationally e.g. the provision of plans to an identified scale, direction of north etc. However, to assist applicants and to retain clarification of information requirements within one section, details are provided below and have been locally adopted by the Council. Applicants are encouraged by the Government to submit applications electronically. This can be done via the Planning Portal. There are several advantages to submitting your planning applications online; this includes smaller postage and printing costs and potentially a faster registration of your application. In addition a service will be available via the Planning Portal and the Council's website to print off particular forms and complete them off line.

The Planning Portal provides a useful guidance on their website to applicant's submitting applications through the Portal - [Planning permission and Building regulations approval | How to apply | Planning Portal](#)

### Application Forms

Keep the description as accurate and concise as possible. However, for listed building applications a detailed description of the works is required, but long descriptions should be included as an attached schedule of works. We recommend you use both upper and lower case when completing all sections of the form.

For applications for changes of use, refer to what the use had changed from and what it is changing to. If the use class is known then please include this as well.

Only include elements of the proposal in the description that require permission.

When applying for permission, where development has already taken place, use the words 'retention of'.

When applying for a revision or amendment to an earlier approved scheme, make this clear in the description. The relevant application/file reference should also be included in the description where appropriate.

### Ownership Certificates

There are two types of certificates that are required to be completed when submitting most planning applications. These are a Certificate of Ownership and an Agricultural Holdings Certificate. Both certificates should accompany planning applications except for certain types of application which are detailed below.

The applicant (or agent acting on behalf of the applicant) is required to **sign and date all certificates**. By doing so they are confirming that the statements made are accurate to the best of their knowledge. It should be noted that there is a penalty for knowingly or recklessly completing a false or misleading Certificate required by Article 14 of the DMPO. The onus is on the applicant or agent to provide the correct information.

A Certificate of Ownership (Article 7 certificate) must accompany a planning application. This can be one of four certificates (explained below), which provides details of the ownership of the site. It is necessary to 'serve notice' on any owners and agricultural tenants when a proposed development is on or where it affects their land.

Under Section 65(5) of the Town and Country Planning Act 1990 and Section 7 of the Town and Country Planning (Development Management Procedure) (England) Order 2015 it states that the Council must not entertain an application for planning permission unless the correct ownership certificates have been completed.

### **Types of Ownership Certificate**

- **Certificate A** should be completed if at the beginning of a period of 21 days before the date of submission of an application, you are the owner of all the land that the application relates to. If you are not the sole owner of all the land, to which the application relates, or there are tenants on the site which have a leasehold interest of 7 years or more then you will need to complete a different certificate (see below). You will also need to complete a different certificate if the application relates to alterations to a flat or where a development overhangs the boundary with an adjoining property, or any footings encroach onto adjoining land.
- **Certificate B** should be completed and Part 1 notice (see below) if you are not the owner(s) of the land, served where you know the names and addresses of all the owners of the land which the application relates to. The details of the names of the persons on whom notice has been served should be completed on this certificate.
- **Certificate C** should be completed if you are not the owner(s) of the land. However where you know the names and addresses of some of the owners of land to which the application relates, but not all of them. You are required to serve notice on all of the owners of the land explained above (Certificate B) and to carry out additional steps to trace the owners that are unknown as explained below (Certificate D).
- **Certificate D** should be completed if you are not the owner(s) of the land and do not know any of the owners of the land to which the application relates. You will need to provide evidence of the steps that you have undertaken to find the owners. This can include carrying out a planning history or land registry search. You will also need to advertise the proposed development in the local newspaper at least 21 days prior to the submission of the application. A copy of this advertisement should be submitted with the application.

### **Part 1 Notice**

A notice to the owners of the land, where the application relates, must be used if Certificate B or C has been completed. A copy of this notice should be served on each of the known individuals identified in the relevant certificate.

### **Agricultural Land Declaration**

Applications are required to be accompanied by an Agricultural Holdings Certificate. This certificate needs to be completed to indicate whether the site forms part of an agricultural holding. The certificate is required whether or not the site includes an agricultural holding. It is incorporated into the standard application form, and must be signed in order for the application to be valid.

This information should be indicated, on the forms, by crossing out any incorrect statements. If the land is part of an agricultural holding you are required to serve notice on the tenant of the holding. If the applicant is the sole tenant or owner then the first part should be crossed out and 'not applicable' inserted in the second part.

No agricultural land declaration is required for applications for:

- The approval of reserved matters;
- Renewal of temporary planning permission;
- Discharge or variation of conditions;

- Tree Preservation Orders;
- Listed building consent;
- Lawful development certificate;
- Prior notification of proposed agricultural or forestry development;
- A non-material amendment(s) to an existing planning permission; or
- Express consent to display an advertisement.

### **Plans and Drawings**

The DMPO specifies that a location plan is required to be submitted with all applications together with any other plans or drawings necessary to describe the development which is the subject of the application. This will include as a minimum a site layout plan.

In addition, there may be a requirement for additional plans to be submitted as detailed within the local list set out later in this document.

### **Location Plan**

One copy of the location plan must accompany every application for planning permission. The purpose of this plan is to show the location of the site and to identify any other sites, which may also be in the same ownership. We will accept location plans downloaded from the Planning Portal ([www.planningportal.gov.uk](http://www.planningportal.gov.uk)) so long as it meets the criteria set out below. Applications which have been submitted with unlicensed Ordnance Survey mapping will be accepted although any possible infringement with copyright laws may be identified to the agent, or applicant where there is not an agent.

The location plan should be based on an up to date Ordnance Survey map, at a scale of 1:1250 unless the site is very large and cannot be shown in its entirety on a single sheet of paper in which case a smaller scale may be used, e.g. 1:2500. It should be scaled to fit onto A4 or A3 size paper where possible. If the land is within a rural area for example, a plan at a scale of 1:5000 is acceptable. It shall include the following:

- The application site outlined in red and any land adjoining **or close by** owned by the applicant should be outlined in blue;
- The red line should include all land necessary to carry out the proposed development e.g. land required for access to the site from the public highway, visibility splays, landscaping, car parking and open areas around buildings;
- A north arrow;

Where possible, the location plan should include the following:

- At least two adjacent road names where possible; and
- The properties shown should be numbered or named to ensure that the exact location of the site where the application relates is clear.

### **Site Plan (or block plan)**

One copy of the site plan (or block plan) should accompany any application. The site plan should be at a standard scale e.g. 1:200 or 1:500. The site plan shall include the following:

- The direction north;
- The proposed development in relation to the site boundaries and other existing buildings on the site, with written dimensions including those to the boundaries; and
- The position and crown spread of all trees (to scale) where applicable within the application site or within falling distance of the application site.

The site plan should also include the following, unless these would not influence or be affected by the proposed development:

- Identification of sufficient buildings and/or roads on land adjoining the site including access arrangements;
- All public rights of way crossing or adjoining the site;
- The extent and type of any hard surfacing; and
- Boundary treatments including walls or fencing where proposed.

### Design and Access Statements

Design and Access Statements are required for:

- (a) development which is [major development](#); or
- (b) development in a designated area [in our District this means a Conservation Area] where the proposed development consists of:
  - (i) the provision of one or more dwellinghouses; or
  - (ii) the provision of a building or buildings where the floor space created by the development is 100 square metres or more; or
  - (iii) applications for listed building consent.

The National Design Guide (Planning practice guidance for beautiful, enduring and successful places) (MHCLG, 2019) provides useful information in relation to how to consider design as part of a planning proposal. In addition, whilst CABE no longer exists, its [Design and Access Statements: How to write, read and use them](#) is a useful document.

The Design and Access statement should:

- explain the design principles and concepts that have been applied to the development;
- demonstrate the steps taken to appraise the context of the development and how the design of the development takes that context into account;
- explain the policy adopted as to access, and how policies relating to access in relevant local development documents have been taken into account;
- state what, if any, consultation has been undertaken on issues relating to access to the development and what account has been taken of the outcome of any such consultation; and
- explain how any specific issues which might affect access to the development have been addressed.

|   |
|---|
| Major Development comprises:  |
| 10 or more dwellings, or<br>site area for residential development is 0.5 hectares or more and the number of dwellings is unknown; or<br>1000 square metres or more of floor space; or<br>Development carried out on a site having an area of 1 hectare or more. |

### Design and Access Statements for Listed Buildings

Design and access statements for Listed Buildings are similar to other design and access statements, in respect of the need for a proportionate approach. However, the content will be different due to the nature of the applications.

Where a planning application is submitted in parallel with an application for listed building consent, then a single, combined statement, should be submitted. This will address both the elements required for a planning application, as well as the following for the listed building consent:

- Explanation of the design principles and concepts that have been applied to the scale, layout and appearance characteristics of a proposal (please note: Information on use, amount and landscaping is not required for listed building consent design and access statements that do not also accompany a planning permission);
- Description of the significance of the heritage assets affected and the contribution of their setting to that significance; and
- ~~Where there are heritage assets with archaeological interest an appropriate desk based assessment or field based evaluation.~~

Design and Access Statements accompanying applications for listed building consent must provide information on any consultation undertaken, and how the outcome of this consultation has informed the proposed works. Statements must also explain how any specific issues which might affect access to the building have been addressed

Further details of what is required are set out in the National Planning Policy Framework, paragraph 189.

#### Application Fee

An application fee is required for all applications except for:

- Planning permission for relevant demolition in Conservation Area
- Hedgerow removal notices
- Listed building applications
- S211 notification of tree works in Conservation Areas
- Works to trees protected by a Tree Preservation Order

For other circumstances where fee exemptions or concessions apply please refer to [A Guide to the Fees for Planning Applications in England](#). You can also use the [Planning Portal fee calculator](#) to work out how much you will need to pay.

## Part 2 – Local Requirements Validation Checklist 2021

### List of Local Requirements

This document identifies which documents it is considered are reasonable to request in order to validate a planning submission having regard, in particular, to the nature and scale of the development or works and matters likely to be a material consideration in the determination of the application. Accordingly trigger levels are quoted where relevant.

You are advised that whilst the information below is sufficient to allow validation, in considering the application we will need to consider any constraints on the site and how such constraints are to be addressed in order to ensure the proposed development can be implemented in an acceptable manner. For example these constraints may relate to (but are not necessary limited to) drainage, contamination, trees, [rights of way](#) and archaeology within the site. In some cases these issues require work to be undertaken before any building work is carried out. In such cases it may be necessary to attach pre-commencement conditions to ensure these matters are appropriately addressed. You are advised to consider whether there is any further information that might assist in addressing such constraints on the application. The Council is committed to making decisions in a timely manner and where permission is to be granted but there remain matters that cannot be addressed during the application process, a pre-commencement condition may assist in issuing decisions earlier. In such circumstances, the case officer will contact you to agree the terms of any pre-commencement conditions in accordance with legislation [www.legislation.gov.uk/uksi/2018/566/made](http://www.legislation.gov.uk/uksi/2018/566/made).

### Pre-application Service

We offer a comprehensive pre-application service. Engagement prior to any planning application being formally submitted can be critically important and should provide the applicant and the Council with the opportunity to gain a clear understanding of the objectives of and constraints on development. It also provides an opportunity for wider engagement, where appropriate, with other stakeholders, including the local community, which can deliver better outcomes for all parties.

Further information regarding the Council's pre-application service can be found at:  
<https://www.newark-sherwooddc.gov.uk/planningapplications/pre-applicationadvice/>

### Contacting the Local Planning Authority

The business unit operates a duty planner service to answer general planning enquiries. In the first instance why not take a look at the information on our website as your question may have been addressed there. The service operates Monday, Tuesday, Thursday and Friday from 2pm until 5pm. Please telephone customer services on 01636 650000 and ask to speak to the duty planner or email [planning@newark-sherwooddc.gov.uk](mailto:planning@newark-sherwooddc.gov.uk).

Please note, depending on what your enquiry is, a longer period of time might be required. We will inform you should this be the case.

### Existing and Proposed Floor Plans

Required for all applications proposing new buildings. Plans shall (where applicable):

- a. be drawn to an appropriate metric scale for example, 1:50 or 1:100.
- b. show clearly the proposed works in relation to what is already there and the height of buildings above ground level
- c. clearly show the relationship between buildings, highlighting any structures to be demolished
- d. where existing buildings or walls are to be demolished these should be clearly shown
- e. for change of use applications (where internal alterations are proposed - must show existing and proposed layout of rooms
- f. show existing and proposed floor plans in the same orientation and scale
- g. include individual drawing numbers, and where applicable revision numbers
- h. include a scale bar

## Existing and Proposed Elevations

Required for all applications proposing new buildings or alterations to the exterior of existing buildings, including changes of use where external alterations are proposed. Plans should:

- a. be drawn to an appropriate metric scale for example, 1:50 or 1:100;
- b. show clearly the proposed works in relation to what is already there and the height of buildings above ground level;
- c. clearly indicate the existing and proposed building materials and the style, materials and finish of windows and doors;
- d. show any blank elevations; if only to show that this is in fact the case;
- e. where any proposed elevation joins another building or is in close proximity, the drawings should clearly show the relationship between buildings, and detail the positions of the openings on each property;
- f. show existing and proposed elevations in the same orientation and scale;
- g. include individual drawing numbers, and where applicable revision numbers;
- h. If the application is for a change of use and no change is proposed to the external elevations, a statement must be included to state that no external changes are proposed; and
- i. include a scale bar

## Advertisement Applications

The following should be provided:

- ~~a. existing and proposed elevations to a scale of 1:50 or 1:100;~~
- ~~b. a drawing to a scale of 1:50 or 1:100 showing the advertisement size, siting, materials and colours to be used;~~
- ~~c. height above ground of the advert;~~
- ~~d. extent of projection and details of method and colours of illumination (if applicable);~~
- ~~e. where plans are submitted, these shall include individual drawing numbers with revision numbers where applicable; and~~
- ~~f. include a scale bar~~

## Part 3 – Statements and Reports

### 1. Affordable Housing

#### Threshold/Trigger

Where the proposal provides 11 or more new residential units or where 1000sqm or more floor area is proposed

#### What should be included

The statement will need to include:

- i. The mix of private and affordable units with numbers of habitable rooms and/or bedrooms.
- ii. If you are proposing different levels or types of affordability or tenure for different units you should explain this clearly and fully.
- iii. If you are proposing affordable housing that is not policy compliant, a viability statement will need to be submitted

You should also show the location of the affordable units and the number of habitable rooms and/or bedrooms, and/or the floor space of the units on the floor plans.

#### Other Information

For further detailed information please see Policy DM8 of the Allocations and Development Management DPD and the supporting text (paragraphs 7.38 to 7.45).

### 2. Agricultural Justification

#### Threshold/Trigger

Where the proposal relates to a new or replacement dwelling in the countryside for a rural worker (this is for both a permanent and temporary dwelling) or for an extension to an existing rural worker's dwelling.

#### What should be included

For dwellings to serve new businesses, or new activities within established businesses, proposals will need to be accompanied by a business plan that demonstrates the following:

- i. Clear evidence of a firm intention and ability to develop the enterprise concerned. This could include significant investment in new buildings or permanently sited equipment;
- ii. A functional need is demonstrated by showing a dwelling is essential for the proper functioning of the enterprise for one or more workers to be readily available at most times. This may arise from the need to be on site day and night in case animals or agricultural processes require essential care at short notice or to deal quickly with emergencies that could otherwise cause serious loss of crops or products;
- iii. Clear evidence that the proposed enterprise has been planned on a sound financial basis; and
- iv. The functional need described at ii) could not be fulfilled by another existing dwelling on the unit, or any other existing accommodation in the area which is suitable and available for occupation by the workers concerned.

For dwellings to serve existing businesses on well-established units, applications will need to be accompanied by the preceding 3 years audited accounts. Proposals will also need to demonstrate:

- i. There is a clearly established existing functional need showing a dwelling is essential for the proper functioning of the enterprise for one or more workers to be readily available at most times. This may arise from the need to be on site day and night in case animals or agricultural processes require essential care at short notice or to deal quickly with emergencies that could otherwise cause serious loss of crops or products;
- ii. The need relates to a full-time worker and does not relate to a part-time requirement;
- iii. The unit and activity concerned have been established for at least three years, have been profitable for at least one of them, are currently financially sound, and have a clear prospect of remaining so; and



- iv. The functional need could not be fulfilled by another existing dwelling on the unit, or any other existing accommodation in the area which is suitable and available for occupation by the workers concerned.

Please note there is a separate fee, payable in addition to the standard planning fee, for applications requiring a viability assessment. The fee is to cover the Council's costs in appointing an independent professional to evaluate the assessment submitted.

### Other Information

For further detailed information please see Policy DM8 of the Allocations and Development Management DPD and the supporting text (paragraphs 7.38 to 7.45).

You can also download our Planning Application for an Agricultural Worker's Dwelling - Supplementary Information form on our website.



## Planning Application for an Agricultural Worker's Dwelling Supplementary Information

You can submit your completed form by email with any plans and details as an attachment to [planning@nsdc.info](mailto:planning@nsdc.info) or post to Planning Development Business Unit, Newark and Sherwood District Council, Castle House, Great North Road, Newark NG24 1BY

Please complete in **BLOCK** capitals. If you provide us with an email address, this will be the preferred method of communication.

**1) Applicant's details**

Name: \_\_\_\_\_  
 Address: \_\_\_\_\_  
 Postcode: \_\_\_\_\_ Daytime Tel: \_\_\_\_\_  
 Email: \_\_\_\_\_

**2) Agent's details** (if applicable) to whom correspondence should be sent to

Name: \_\_\_\_\_  
 Address: \_\_\_\_\_  
 Postcode: \_\_\_\_\_ Tel: \_\_\_\_\_  
 Email: \_\_\_\_\_

**3) Trading name of holding**

\_\_\_\_\_

**4) Applicants status** (i.e. Sole trader/Partner/Director: \_\_\_\_\_

Indicate the size of the site in hectares: \_\_\_\_\_

**5) Details of land farmed – Please attached a plan clearly showing boundaries**

| Area                     | Size of area hectares | Location                            |
|--------------------------|-----------------------|-------------------------------------|
| a) Area of land owned    |                       | Outline boundaries in red on plan   |
| b) Area of land tenanted |                       | Outline boundaries in blue on plan  |
| c) Grazing usually taken |                       | Outline boundaries in green on plan |
| d) Grazing rights        |                       |                                     |
| e) Area of mowing land   |                       |                                     |
| f) Area of pastures      |                       |                                     |
| g) Area of rough grazing |                       |                                     |
| h) Area of arable land   |                       |                                     |
| i) Area of woodland      |                       |                                     |
| j) Area of other land    |                       |                                     |

### 3. Air Quality Assessment

#### Threshold/Trigger

An air quality assessment based on current best practice is required for:

i. Major developments

i. Proposals likely to have an impact on air quality e.g. those requiring an EIA, industrial installations, biomass boilers, combined heat and power plants, where traffic flows will increase by more than 5% on roads with less than 10,000 annual average daily trips, where significant demolition or construction works are proposed etc.

#### Details of what should be included

Assessments should be proportionate to the nature and scale of development proposed and the level of concern about air quality and because of this are likely to be location specific. The scope and content of supporting information is therefore best discussed and agreed between the Local Planning Authority and the Council's Environmental Health Officers before it is commissioned. Air quality is a consideration in Environmental Impact Assessment, if one is required, and also in a Habitats Regulations Appropriate Assessment.

The following could be included in assessments and be usefully agreed at the outset:

- a description of baseline conditions and how these could change;
- relevant air quality concerns;
- the assessment methods to be adopted and any requirements around verification of modelling air quality;
- sensitive locations;
- the basis for assessing impact and determining the significance of an impact;
- construction phase impact; and/or
- acceptable mitigation measures.

### 4. Archaeological Assessment

#### Threshold/Trigger

Statements will be required for all proposals involving the disturbance of ground within an area of known archaeological interest. This includes;-

- Sites within historic cores, including Newark's Historic Core, as defined by the Newark and Sherwood District Council's adopted Allocations and Development Management DPD maps
- Certain allocated sites, as defined by the Newark and Sherwood District Council's adopted Allocations and Development Management DPD
- Sites in close proximity to a Scheduled Ancient Monument
- Sites in close proximity to the Battlefield at East Stoke
- Sites in close proximity to church yards

Further information may be found within the National Planning Policy Framework, Air Quality guidance ([www.gov.uk/guidance/air-quality-3](http://www.gov.uk/guidance/air-quality-3)) and Clean Air Zone Framework May 2017 – DEFRA and DfT

#### Details of what should be included

Assessments must be carried out by a suitably qualified person (a person accredited by the Chartered Institute for Archaeologists or equivalent).

National planning policy emphasises the need to carry out an appropriate desk-based assessment. A field evaluation may also be necessary.

The information should include plans showing historic features, listed buildings and structures, historic parks and gardens, historic battlefields & scheduled ancient monuments, an analysis of significance of archaeological, history and character of the building or structures, together with the principles of and justification for proposed work.

This will ensure that appropriate measures are put in place to manage the investigation, recording, analysis and preservation of any remains or otherwise mitigate the effect of the development on areas of archaeological interest.

The Chartered Institute for Archaeologists has published a list of standards and guidance for desk-based assessments which can be viewed on their website: [www.archaeologists.net/codes/cifa](http://www.archaeologists.net/codes/cifa)

**Other Information:**

Planning Practice Guidance

Chartered Institute for Archaeologists - <https://www.archaeologists.net/codes/cifa>

## 5. Bin/Waste Management Information

**Threshold/Trigger**

All new **full** residential and commercial developments requiring waste management (collection of bins)

**Details of what should be included**

Scaled plans and information showing how the proposal complies with the Council's "[Guidance for New Developments, Waste Storage and Collection](#)".

## 6. Broadband Statement

**Threshold/Trigger**

Residential developments of 10 units or more

**Details of what should be included**

Written confirmation that the site will provide superfast broadband.

**Other information**

Please see the HBF OpenReach letter dated 3<sup>rd</sup> February 2016 available to view at:

[www.gov.uk/government/publications/superfast-connectivity-in-new-homes](http://www.gov.uk/government/publications/superfast-connectivity-in-new-homes)

## 7. Coal Mining Risk Assessment

**Threshold/Trigger**

Development within High Risk Areas. However, any development within a coal mining area might be affected and it is advised that developer's read the document set out within the hyperlink in the next paragraph.

**Details of what should be included**

A Risk Assessment report should demonstrate how coal mining legacy risks have been considered in the context of the proposed development and how the developer will ensure that the proposed development will be safe and stable. Details of what should be provided are set [out within Coal Authority Guidance for Local Planning Authorities -England](#) with detailed information provided at pp. 18-21.

**Other information**

Paragraphs 178 and 179 of the National Planning Policy Framework (NPPF) makes it clear that land instability issues should be considered as part of development proposals and that the responsibility for securing a safe development rests with the developer and/or landowner.

## 8. Community Infrastructure Levy (CIL) Forms

**Threshold/Trigger**

CIL may be payable on development which creates net additional floor space, where the gross internal area of new-build exceeds 100 square metres. This includes development permitted by a 'general consent' (including permitted development). The 100 square metre limit does not apply to new houses or flats, and a charge can be levied on a single house or flat of any size, unless it is built by a 'self-builder'. However, the initial CIL forms should be completed at planning validation stage even if you intend to apply for a CIL Self – Build Exemption at a later date.

CIL will apply to all such buildings regardless of the type of permission.

Details of the CIL Rates and Charging Zones are set out in the CIL Charging Schedule which can be viewed on the Council's website at [www.newark-sherwooddc.gov.uk/cil](http://www.newark-sherwooddc.gov.uk/cil)

**Details of what should be included**

To allow the Council to decide if your development is liable for CIL and if so how much, information forms entitled “Community Infrastructure Levy (CIL) – Planning Application Additional Information Requirement Guidance” and “Determining whether a Development may be CIL Liable” are available on the above website:

These should be completed and submitted with your application. This includes applications for householder development. These forms should be completed even if you intend to apply for a CIL Self – Build Exemption at a later date.

Whilst the Council will not invalidate your application for the lack of this form, its completion will assist.

## 9. Contamination Survey

### Threshold/Trigger

In many cases, a contamination survey can be a requirement of a planning condition after planning permission has been granted. However, there are some circumstances where a contamination survey is required at validation stage. These include:

- Certain allocated sites – see Newark and Sherwood District Council’s adopted Allocations and Development Management DPD for further information [www.newark-sherwooddc.gov.uk/adm/](http://www.newark-sherwooddc.gov.uk/adm/)
- Certain proposed land uses or development on sites where there may be the potential for contamination due to the former use of the land.

The following former or proposed land uses will require a quantitative risk assessment:

- Coal, mineral mining & processing, both deep mines & opencast
- Smelters, foundries, steel works, metal processing & finishing works
- Heavy engineering & engineering works, e.g. car manufacture
- Military/defence related activities
- Electrical & electronic equipment manufacture & repair
- Gasworks, coal carbonisation plants, power stations
- Oil refineries, petroleum storage & distribution sites
- Manufacture & use of asbestos, cement, lime & gypsum
- Manufacture of organic & inorganic chemicals, including pesticides
- Acids/alkalis, pharmaceuticals, solvents, paints etc.
- Rubber industry, including tyre manufacture
- Munitions/explosives production, testing & storage sites
- Glass making & ceramics manufacture
- Textile industry, including tanning & dyestuffs
- Paper & pulp manufacture, printing works & photographic processing
- Timber treatment
- Food processing industry & catering establishments
- Railway depots, dockyards, garages, road haulage depots, airports
- Landfill, storage & incineration of waste
- Sewage works, farms, stables & kennels
- Scrap yards & breakers yards
- All types of laboratories
- Power stations, electricity substations, gas works
- Chemical and manufacturing plants - using/storing bulk liquid
- Chemicals or discharging of effluent
- Sewage farms and sewage treatment plants
- Quarries or land which has been infilled with unknown fill
- Collieries
- Ministry of Defence sites
- Storing and reprocessing scrap vehicles
- Fuel storage facilities, garages and petrol forecourts
- Abandoned mines, and downstream of such mines if in a flood zone
- Abattoirs, animal waste processing & burial of diseased livestock

The following land uses will require a preliminary risk assessment, site walkover and bill of quantities:

- Other industries and commercial uses not listed in Department for Environment, Food & Rural Affairs (DEFRA) profiles
- Engineering works
- Urban soils (which are comprised of made ground)
- Land with known fill
- Hospitals
- All works employing metal finishing processes -plating, paint spraying
- Vehicle repair garages (no oil storage)
- Works utilizing animal products, for example, tanneries
- Radioactive substances used in industrial activities e.g. gas mantle production, luminising works
- Agriculture – excessive use or spills of pesticides, herbicides, fungicides, sewage sludge & farm waste disposal
- Dry cleaning premises
- Naturally-occurring radioactivity, including radon
- Naturally-occurring - metals and other substances
- CO2 & CH4 production & emissions in coal mining areas, wetlands, peat moors or former wetlands
- Spraying of herbicides and pesticides
- Unregulated tipping activities
- Domestic heating oil leaks
- Railway Land (other than described in high risk category)
- Gas mantle production, luminising works, dial manufacturers
- Made ground
- Cottage industry
- Allotments

The following land use will require a preliminary risk assessment and site walkover for potential contamination:

- Land which has been bombed
- Burial sites & graveyards
- Garages use for car parking

#### **Details of what should be included**

For detailed information regarding the type of information the Council will require in order to assess an application for planning permission on land possibly affected by contamination please see “A Guide to Developing Land within Nottinghamshire” which is available to view at [www.newark-sherwooddc.gov.uk/landpollution/contaminationandtheplanningprocess/](http://www.newark-sherwooddc.gov.uk/landpollution/contaminationandtheplanningprocess/) and is summarised below:

#### Phase I — Desktop Study

The desktop study is the collation of site specific information in order that a conceptual site model can be established. This conceptual model considers all potential contaminant sources, pathways and receptors, defined as a pollutant linkage. The desktop study should document the site history and identify all potentially contaminative land uses back to when the site was Greenfield. The conclusions of the report should contain recommendations for any progression to Phase II, if required.

A Phase I - Desktop Study Report should include:

- Purpose of aims and study
- Site location and layout plans (appropriately scaled and annotated)
- Appraisal of site history
- Appraisal of site walkover study
- Assessment of environmental setting, to include:
  - Geology, Hydrogeology, Hydrology
  - Information on mining/quarrying activity
  - Information from EA on abstraction, pollution incidents, water quality and landfill sites.
- Assessment of current/proposed site use and surrounding land uses

- Review any previous site contamination studies (desk based/intrusive) remediation works
- Preliminary risk assessment, based on proposed development and to include:
  - Appraisal of potential/actual contaminant sources, pathways and receptors (pollutant linkages)
  - Conceptual site model (diagrammatic and written)
- Recommendation for intrusive contamination investigation (if necessary) to include:
  - Identification of target areas for more detailed investigation
  - Rationale behind design of detailed investigation

### Phase II — Detailed Investigation

The Detailed Investigation phase is the on-site validation of the conceptual model. Through intrusive investigation, chemical testing and quantitative risk assessment, the Phase II study can confirm pollutant linkages and therefore, should also provide appropriate remediation options, if required.

A Phase II – Detailed Investigation should include:

- Review previous site investigation contamination studies (desk-based or intrusive) or remediation works
- Site investigation methodology, to include:
  - Justification of exploration locations
  - Locations of on-site structures, above/below ground storage tanks etc
  - Sampling and analytical strategies
  - Borehole/trial pit logs.
  - Borehole / trial pit log locations
- Results and findings of investigation, to include:
  - Ground conditions (soil and groundwater regimes, including made ground)
  - Discussion of soil/groundwater/surface water contamination (visual, olfactory, analytical)
- Conceptual site model
- Risk assessment – based on source-pathway-receptor
- Details of the site specific risk assessment model selected and justification in its selection
- Recommendations for remediation – based on proposed land use
- Recommendations for further investigation if necessary

### Phase III — Remediation Strategy / Validation Report

The remediation phase of the process is split into two sections. Firstly the Remediation Statement is a document detailing the objectives, methodology and procedures of the proposed remediation works. This must be submitted for approval by the Council before any works commence. Secondly, following completion of the works, a Validation Report must be submitted demonstrating that the works have been carried out satisfactorily and remediation targets have been achieved.

Phase III – Remediation Statements should include:-

- Objectives of the remediation works
- Details of the remedial works to be carried out, to include:
  - Description of ground conditions (soil and groundwater)
  - Type, form and scale of contamination to be remediated
  - Remediation methodology
  - Site plans/drawings
  - Phasing of works and approximate timescales
  - Consents and licenses e.g. (Discharge consents, waste management licenses etc.)
  - Site management measures to protect neighbours.
- Details on how works will be validated; ensuring remediation objectives are met, to include:
  - Sampling strategy
  - Use of on-site observations, visual/olfactory evidence
  - Chemical analysis
  - Proposed clean-up standards (i.e. contaminant concentration)

## 10. Daylight and Sunlight Assessment

### Threshold/Trigger

Required for major applications where there is a potential adverse impact upon the current levels of sunlight/daylight enjoyed by adjoining properties or buildings, including associated gardens or amenity space.

Applications where the application site itself is subject to potential adverse impact from adjoining buildings or features or where one part of the development is affected by another part of the same development.

### Details of what should be included

A daylight, vertical sky component, sunlight availability and shadow study should be undertaken. It is recommended guidance from the BRE is used.

The information should be sufficient to determine:

- The existing and expected levels of daylight, sunlight and overshadowing on neighbouring properties.

The measures that will be taken to mitigate against the expected impact of the proposed development.

## 11. Drainage including Surface Water Drainage, Sustainable Drainage Scheme (SuDS) and Foul Drainage

### Threshold/Trigger

- All major applications
- Applications in areas at risk from flooding (Flood Zone 2 or 3)
- Applications adjacent to areas at risk of flooding
- Where there is a requirement in the relevant Neighbourhood Plan. For further information please see <https://www.newark-sherwooddc.gov.uk/planningpolicy/neighbourhoodplanning/>

*NB – Usually information would be required for Areas with Critical Drainage Problems (ACDPs) – However, Nottinghamshire County Council as Lead Local Flood Authority have confirmed they have not designated any critical drainage areas within Newark and Sherwood District at this time.*

### Details of what should be included

#### Sustainable Drainage Systems (SuDS)

SuDS are an approach to managing rainwater falling on roofs and other surfaces through a sequence of actions. The key objectives are to manage the flow rate and volume of surface runoff to reduce the risk of flooding and water pollution. SuDS also reduce pressure on the sewerage network and can improve biodiversity and local amenity.

Sustainable drainage is a departure from the traditional approach to draining sites. There are some key principles that influence the planning and design process enabling SuDS to mimic natural drainage by:

- storing runoff and releasing it slowly (attenuation)
- allowing water to soak into the ground (infiltration)
- slowly transporting (conveying) water on the surface
- filtering out pollutants
- allowing sediments to settle out by controlling the flow of the water
- **creating space that will enhance biodiversity and amenity**

#### Surface Water

A surface water drainage scheme should include the following information:

- A metric scaled plan of the existing site.
- A metric scaled topographical level survey of the area to metres above ordnance datum (MAOD).
- Metric scaled plans and drawings of the proposed site layout identifying the footprint of the area being drained (including all buildings, access roads and car parks).
- The existing and proposed controlled discharge rate for a 1 in 1 year event and a 1 in 100 year event (with an allowance for climate change), this should be based on the estimated green-field runoff rate.
- The proposed storage volume (attenuation).

- Information on proposed SuDS measures with a design statement describing how the proposed measures manage surface water as close to its source as possible.
- Geological information including borehole logs, depth to water table and/or infiltration test results.
- Details of overland flow routes for exceedance events.
- [Drainage hierarchy assessment including detail of which options of the hierarchy are available and why they have been discounted if not utilised. i.e. Infiltration has been discounted due to the impermeable nature of the underlying strata \(Mercia Mudstone\)](#)
- A management plan for future maintenance and adoption of drainage system for the lifetime of the development.

#### Foul Drainage

For development incorporating foul drainage into the public sewer details of the impact of the development on the public sewer infrastructure are required. Early discussions with Severn Trent Water or [Anglian Water](#)<sup>1</sup> are key in order to determine whether or not a load or flow assessment should be submitted with the planning application.

<sup>1</sup> [Anglian Water serves Barnby in Willows, Harby, Wigsley and part of Fernwood.](#)

If a proposal incorporates a non-mains foul drainage system than a [Foul Drainage Assessment Form](#) should be completed. In addition to this form:

**Proposed treatment plants** - a percolation test must be carried out and the results submitted with the application unless the treatment plant is to be draining into a watercourse.

**Proposed septic tanks and cess pools** - a full impact assessment must be submitted before the application can be registered. This should confirm that the adverse effects summarised in factors (a) to (k) below will not arise. This assessment should focus on the likely effects on the environment, amenity and public health and, in particular, it should include a thorough examination of the impact of disposal of the final effluent, whether it is discharged to a water course or disposed of by soakage into the ground.

- contravention of recognised practices
- adverse effect on water sources/resources
- health hazard or nuisance
- damage to controlled waters
- damage to the environment and amenity
- overloading the existing capacity of the area
- absence of suitable outlets
- unsuitable soakage characteristics
- high water table
- rising ground water levels
- flooding

[Proposals that are within close proximity to or will have impact upon any open watercourse or culvert should be submitted with a plan showing the location of the watercourse/culvert. Details of the impact of surface water discharge from sites into such watercourses, particularly with regard to impacts downstream should be provided.](#)

#### **Further Advice:**

[Anglian Water offer a pre-planning enquiry service. Details may be found at <https://www.anglianwater.co.uk/developing/planning--capacity/planning-and-capacity/>](#)



## 12. Ecological and Protected Species Assessment

### Threshold/Trigger

An ecology survey is required if it is likely that protected species are:-

- affected by the development, for example the effect of a wind turbine proposal on protected birds
- present on or near the proposed site, this can include but is not restricted to:-
  - Applications relating to barns and other buildings capable of supporting protected species (planning application or change of use prior notification application)
  - Where development is within or adjacent to a wildlife corridor or area of natural open space
  - A Greenfield site
  - Where development is within a ~~Special Protection Area (SPA), potential Special Protection Areas (pSPA), Site of Special Scientific Interest, Local Wildlife Site (LWS)~~
  - Works involving trees known to house protected species

Where development will have a direct, indirect or in combination impact(s) on a Special Area of Conservation (SAC), Special Protection Area (SPA) or potential Special Protection Areas (pSPA), regard must be given to the Habitats Regulation Directive 2017. This includes the need to undertake screening, scoping and appropriate assessment.

### What should be included

Surveys must be carried out by a suitably qualified ecologist (a member of the Chartered Institute of Ecology and Environmental Management or similar) at the correct time of year, using methods that are appropriate for the species and the area. Surveys should be up to date and ideally from the most recent survey season.

All surveys must include the author's name, professional details.

Surveys should include:-

- A desktop study, including consulting the Nottinghamshire Biological and Geological Records Centre, and appropriate habitat and species surveys.
- Identification of natural features, habitats, flora and fauna.
- An assessment of the implications of the proposed development upon the wildlife habitats or features present, including any measures for mitigating the impact of development – accompanying plans should indicate such mitigation and / or compensation measures.
- Applications for development in the countryside that will affect areas designated for their biodiversity interests are likely to need to include assessments of impacts and proposals for long term maintenance and management.
- Particular consideration must be given to the presence of protected species such as badgers, bats, barn owls, great crested newts, reptiles and water voles.
- Where appropriate accompanying plans should indicate the location of any significant wildlife habitats or features.

### Other Information

Further information regarding where protected species are likely to be present can be found in Natural England's Guidance "How to Review Planning Applications" available to view online.

In cases where it is not clear which species are present, if at all, a scoping survey (often called an "extended phase 1 survey") can be carried out. This is useful for assessing whether a species-specific survey is needed.

Further detailed information regarding survey methods for individual species can be found at the following website: [www.gov.uk/topic/environmental-management/wildlife-habitat-conservation](http://www.gov.uk/topic/environmental-management/wildlife-habitat-conservation)

### 13. Economic Statement

#### Threshold/Trigger

Required for major developments, excluding householders which are major development by virtue of site area.

#### What should be included

Applications may need to be accompanied by a support statement of any regeneration benefits from the proposed development, including:

- details of any new jobs that might be created or supported;
- the relative floorspace totals for each proposed use (where known);
- any community benefits: and
- reference to any regeneration strategies that might be behind or be supported by the proposal.

### 14. Environmental Impact Assessments (EIA)/Environmental Statement

#### Policy Background

- The Town and Country Planning (Environmental Impact Assessment) Regulations 2017 – further information available on the following website: [The Town and Country Planning \(Environmental Impact Assessment\) Regulations 2017 \(legislation.gov.uk\)](https://www.legislation.gov.uk/uksi/2017/1003/contents/making)

#### Threshold/Trigger

The Regulations (see website above) apply to two separate lists of projects:

1. Proposals listed in Schedule 1 of the Regulations ‘Schedule 1 projects’ require an EIA in every case; and
2. Proposals listed in Schedule 2 of the Regulations ‘Schedule 2 projects’ require an EIA only if the project in question is judged likely to give rise to significant environmental effects. Schedule 3 provides further guidance for when assessing whether Schedule 2 projects require an EIA.

#### Screening Opinion

If you are unsure whether your proposal requires an Environmental Impact Assessment (EIA) you can submit a request to the Local Planning Authority (LPA) for a Screening Opinion. The Local Planning Authority will then screen the proposal against the Regulations and confirm in writing whether or not a full Environmental Impact Assessment is required. The request for a Screening Opinion should include the following:

- a. a plan sufficient to identify the land;
- b. a description of the development, including in particular:
  - (i) a description of the physical characteristics of the development and, where relevant, of demolition works;
  - (ii) a description of the location of the development, with particular regard to the environmental sensitivity of geographical areas likely to be affected
- c. a description of the aspects of the environment likely to be significantly affected by the development;
- d. to the extent the information is available, a description of any likely significant effects of the proposed development on the environment resulting from:
  - (i) the expected residues and emissions and the production of waste, where relevant; and
  - (ii) the use of natural resources, in particular soil, land, water and biodiversity;
- e. such other information or representations as the person making the request may wish to provide or make, including any features of the proposed development or any measures envisaged to avoid or prevent what might otherwise have been significant adverse effects on the environment.

On receipt of the application for a screening opinion the LPA will consult relevant organisations and respond to the request within 3 weeks beginning with the date of receipt of a request made or such longer period, not exceeding 90 days from the date of receipt as may be agreed in writing with the person making the request. Where there are exceptional circumstances that it is not practicable for the LPA to adopt a screening opinion within this time period, the LPA may extend the period by notice in writing given to the person who made the request for a screening opinion.

If the LPA considers that the proposal could have significant effects on the environment then they will require an EIA to be submitted with the planning application. You will be informed, in writing, of the outcome of the screening opinion.

In addition to the above the LPA will undertake a screening opinion on all relevant applications when submitted. It may be at this time that an EIA is requested by the LPA. Where an applicant disagrees with the decision they may appeal to the Secretary of State for a screening opinion.

The Health Matrix incorporated within the [Nottinghamshire Planning and Health Framework \(2019 -2022\)](#) might prove useful in assessing the health impacts of a development upon human receptors and facilitate consideration to Health Impact Assessments.

### **Scoping Opinion**

A person who is minded to make an EIA application may ask the relevant planning authority to state in writing their opinion as to the scope and level of detail of the information to be provided in the environmental statement (a “scoping opinion”):

- a. a plan sufficient to identify the land;
- b. a brief description of the nature and purpose of the development, including its location and technical capacity;
- c. an explanation of the likely significant effects of the development on the environment; and
- d. such other information or representations as the person making the request may wish to provide or make;

The LPA must adopt a Scoping Opinion within 5 weeks of receiving a request or such longer period as may be agreed in writing with the person making the request. The LPA will consult the relevant bodies as part of the process.

The LPA will then confirm what they consider to be the main effects of the development and the topics that the Environmental Statement should cover. This does not prevent the LPA from requesting additional information as part of the EIA process. Alternatively, the LPA can screen a proposal as part of the planning application process once a formal planning application has been received.

### **Details of what should be included**

Full details of what to include in an Environmental Impact Assessment / Environmental Statement are set out in full in Schedule 4 of the Regulations.

In order to ensure the completeness and quality of the environmental statement:

- a. the developer must ensure that the environmental statement is prepared by competent experts; and
- b. the environmental statement must be accompanied by a statement from the developer outlining the relevant expertise or qualifications of such experts.

A person who is minded to make an EIA application may ask the relevant planning authority to state in writing their opinion as to the scope and level of detail of the information to be provided in the environmental statement (this is called a “scoping opinion”). [Further details on the Scoping Opinion process can be found here.](#)

### **Other Information**

Planning permission cannot be granted for EIA development unless an EIA has been carried out in respect of that development.

## 15. External Lighting Details

### Threshold /Trigger

All proposals involving floodlighting

### Details of what should be included

A report written by a suitably qualified person must include:-

- Details of the survey of the surrounding night environment
- Identification of critical view points
- Establishment and calculation of existing lighting conditions
- Summary of baseline measurements and/or calculations
- Analysis of task lighting level recommendations
- Establishment of environmental light control limits
- Statement of new lighting design quality objectives
- Calculated measurement of task working areas
- Calculated measurement of overspill areas
- Obtrusive light calculation of property intrusion
- Viewed source intensities including nominal glare assessment
- Direct upward light ratio
- Comparison of design achievement with baseline values
- Designers critique of final design constraints
- View point visualisation
- Virtual walkthrough of illuminated site
- Schedule of model reflection factors
- Schedule of luminaire mounting heights and aiming angles
- Layout plan with beam orientation indication.

## 16. Flood Risk Assessment

### Threshold/Trigger

When a Flood Risk Assessment is required:

You need to do a flood risk assessment for most developments within one of the flood zones.

This includes developments:

- in flood zone 2 or 3 including minor development and change of use
- more than 1 hectare (ha) in flood zone 1
- ~~less than 1 ha in flood zone 1, including a change of use in development type to a more vulnerable class (for example from commercial to residential), where they could be affected by sources of flooding other than rivers and the sea (for example surface water drains, reservoirs)~~
- ~~in an area within flood zone 1 which has critical drainage problems as notified by the Environment Agency~~

Please see Flood Risk Vulnerability Classifications (definitions for the above categories).

To find out which flood zone a site is in please see [www.gov.uk/government/organisations/environment-agency](http://www.gov.uk/government/organisations/environment-agency)

When a Flood Risk Assessment is not required:

You do not need to do a flood risk assessment for a development that is less than 1 ha in flood zone 1 unless it could be affected by sources of flooding other than rivers and the sea, for example surface water drains.

When to follow standing advice

You should follow the Environment Agency's standing advice if you're carrying out a flood risk assessment of a development classed as:

- a minor extension (household extensions or non-domestic extensions less than 250 square metres) in flood zone 2 or 3
- 'more vulnerable' in flood zone 2 (except for landfill or waste facility sites, caravan or camping sites)

- 'less vulnerable' in flood zone 2 (except for agriculture and forestry, waste treatment, mineral processing, and water and sewage treatment)
- 'water compatible' in flood zone 2
- You also need to follow standing advice for developments involving a change of use into one of these vulnerable categories or into the water compatible category.

**Details of what should be included:**

Flood risk assessment

All flood risk assessments must be written by a suitably qualified person and should include their name and qualifications.

Detailed information as to what to include in a flood risk assessment depending on which flood zone the site lies in can be in Appendix 1 Flood Risk Advice.

In addition to the information above, Flood Risk Assessments should also take into account information from the relevant Neighbourhood Plan.

Flood risk assessment: standing advice

For all developments covered by standing advice, you must put together a flood risk assessment which includes:

- your site address
- a description of your development
- [sequential test for developments in flood zones 2 and 3](#)
- [how the site is likely to be affected by climate change](#)
- an assessment of the flood risk for your development (consider all sources of flooding not just rivers and the sea e.g. [surface water](#), [some groundwater](#)) and include an allowance for climate change
- the estimated flood level for your development, i.e. the 1 in 100 year river flood level or the 1 in 200 year tidal flood level
- details of your flood resilience and resistance plans
- [surface water management](#)
- [summary of the numbers of future occupiers and likely future pattern of occupancy](#)
- [if the development is a qualifying development within flood zones 2 and 3, details of the Exception test](#)
- any supporting plans and drawings
- [who has undertaken the assessment and when it was undertaken](#)
- any information the relevant standing advice tells you to include

~~Refer to Appendix 1 Flood Risk Advice for further information.~~

**Other Information**

Flood Risk Assessments should always be proportionate to the degree of flood risk in each case and appropriate to the scale, nature and location of the proposed development or change of use.

The level 2 Strategic Flood Risk Assessment is available on our website at [www.newark-sherwooddc.gov.uk/sf2/2/](http://www.newark-sherwooddc.gov.uk/sf2/2/)

Further information can be found at [www.gov.uk](http://www.gov.uk)

## 17. Green Belt Impact Assessment

### Threshold/Trigger

- Applications which propose the demolition and replacement of buildings located within the Green Belt
- Applications which propose extensions, new buildings or engineering operations in the Green Belt
- All householder applications that propose the extension of dwellings located in the Green Belt

### What should be included

Plans and volume, external footprint and floorspace calculations for the following:

- The original building (a building existing on 01/07/1948 or a building as originally constructed on or after that date)
- All existing extensions and outbuildings to the original building
- Any demolition of the original building proposed
- Any demolition of an existing extension(s) and outbuildings proposed
- Any proposed extensions
- Any proposed new buildings

Volume, external footprint and floorspace percentage increase calculations for the following:

- Original building to existing building
- Original building to proposed building
- Existing building to proposed building

## 18. Heritage Impact Assessments (including desk based Archaeological reports)

### Threshold/Trigger

All applications affecting heritage assets and/or their setting. A heritage asset is defined as *“A building, monument, site, place, area or landscape identified as having a degree of significance meriting consideration in planning decisions, because of its heritage interest. Heritage asset includes designated heritage assets and assets identified by the local planning authority (including local listing).”*

A Heritage Impact Assessment will always be required for the following as they relate to designated heritage assets:

- Listed building consent applications;
- Planning permission applications for sites within the setting of a listed building;
- Planning permission applications for sites/buildings in or within the setting of a conservation area;
- Planning permission applications for sites in or within the setting of a scheduled ancient monument;
- Planning permission applications for sites in or within the setting of registered parks and gardens;
- Planning permission for relevant demolition in a conservation area.

Heritage Impact Assessments should also be submitted for planning applications affecting non-designated heritage assets, notably where that proposal would result in total loss or significant alteration of the heritage asset. Carrying out a pre-application meeting with the Planning Department will ensure that heritage assets are identified at the earliest stage.

[Should a proposal comprise enabling development, you are advised to seek early pre-application advice and refer to Historic England's Good Practice Advice in Planning: 4 Note](#)

### What should be included:

Heritage Impact Assessments for larger scale schemes should be written by a suitably qualified person (for example a member of the Institute of Historic Building Conservation or other relevant body). The assessment should include the author's name and qualifications.

Applicants are required to provide a description of the significance of the heritage asset and/or its setting. This can be presented in the form of a Heritage Impact Assessment. A Heritage Impact Assessment should provide the local planning authority with enough information to adequately understand the impact of the proposals on the significance of any heritage assets affected. ~~The level of detail should be proportionate to the importance of the assets and no more than is sufficient to understand the potential impact of the proposal on their significance.~~

The level of information provided should be appropriate and proportionate to the significance of the heritage asset and the potential impact upon that significance of the proposals. For example, for an application that includes substantial demolition of a heritage asset it is reasonable to expect an applicant to provide a thorough and detailed understanding of the asset, and a thorough explanation of the impact of the demolition on the asset and its setting. An application for a minor alteration to part of the asset is likely only to require detailed information on the affected part of the asset, with only a brief explanation of how the impact relates to the significance of the asset as a whole.

For small scale development the Heritage Impact Assessment should be written by anyone that is competent to do so. This may be the heritage asset owner (for example for a householder application) but for a complex heritage asset with high levels of significance a heritage professional is required (i.e. conservation architect, architectural historian, building archaeologist).

For proposals that require alterations to or replacement of, for example, doors and windows, these should be shown [on a separate plan\(s\)](#) to a larger scale of 1:10, 1:5, or 1:1 as appropriate. [The statement should examine the impact of such changes with reference to the associated plans.](#)

Further detailed information as to what should be included in a Heritage Impact Assessment can be on our website at [www.newark-sherwooddc.gov.uk/planning/heritageconservation/planningandheritageassets/](http://www.newark-sherwooddc.gov.uk/planning/heritageconservation/planningandheritageassets/)

Heritage Impact Assessment will need to cover any archaeological issues at the site.

**The following is a guide for preparing a Heritage Impact Assessment:**

Stage 1: Gathering information

Heritage assets, especially when designated, will have some documentary information about them. For example, all listed buildings have a statutory list description and Registered Parks and Gardens have full detailed descriptions that include their historic development. The [Historic Environment Record at Nottinghamshire County Council](#) ~~could~~ **should** be consulted as part of the information gathering stage.

A good set of colour photographs showing the areas specific to the proposals should be included. There are many historic photographs of the district, often showing the application site or building. Historic photographs often reveal information about how the building has changed and can provide justification for proposed alterations or inform the design of an alteration or extension.

Maps can reveal historic layouts of sites and buildings, their relationship with other buildings or structures and surrounding landscapes or gardens. An examination of historic maps will often reveal information on how the site has changed and developed, providing time periods for different building phases. There are many sources of historic and modern maps, such as enclosure and tithe maps, to the more detailed Ordnance Survey maps which were first drawn in the mid-19th century. The types of maps that you should consult will very much depend on the age of the heritage asset and your proposals.

Many buildings and areas in the district are referred to in other sources of information, which is often the work of local historians. For example, the history of many of the villages has been written about. The District or County Council may hold investigative reports on buildings or sites. Where an assets lies within a conservation area there may be a conservation area appraisal written. Historical directories can be useful sources, especially where the site or building was built for a community purpose, often providing dates of construction, architects and benefactors.

Stage 2: Written Description

A written description should also be provided; the amount and type of information will depend very much on the heritage asset itself and the proposals. If appropriate you may wish to include copies of any documents relating to the heritage asset, discovered as part of Stage 1. The following, to a greater or lesser extent should be included:

- A description of the building/structure/site and its setting (this may include important views towards and away from the heritage asset. The description should include information on architectural style, date(s) of construction, materials and notable characteristics generally and specifically in the location of the proposals. Where proposals affect the setting of a heritage asset you may wish to make reference, if appropriate, to other buildings in the grounds/garden, details of landscaping and views towards and away from the application site.
- Summary of the building/structure/site's architectural, archaeological or historical significance. Significance is what people value about the heritage asset. This will often be the architectural interest, but can also be social, community, economic or environmental value. Unusual or rare features will usually have a higher level of significance. Significance will often be derived from the age of the fabric of a building. For example, late 20th century extensions to an 18th-century farmhouse will not usually be considered to be as significant as the earlier original fabric. Where a heritage asset has numerous phases of development, or differing levels of significance, plans can be used to show this effectively.
- Where a heritage asset forms part of a group, consider the group value or cumulative significance i.e. the significance it has by virtue of being in the presence of other assets.

### Stage 3: Proposals and Justification

The Local Planning Authority must clearly understand your proposals and the reasoning for them. Through a good understanding of the heritage asset, your proposals should be designed to avoid or minimise any harm to the significance of the heritage asset. A written explanation should be provided outlining your proposals and justifying them. Your explanation might answer the following questions:

- What is the need for the new work?
- Can your needs be met in a different way?
- What are the benefits of the new work?
- Could the work harm the heritage asset or put it at risk in anyway?
- Do you understand the heritage asset well enough to make an informed decision?
- Will the benefits outweigh any harm?
- Can you avoid (mitigate) any minor impacts on the heritage?
- Is the scale, design, materials proposed for any new works appropriate?
- Is any new work in the least damaging place?

Should the proposal result in substantial harm to a designated heritage asset, evidence of appropriate marketing is required to demonstrate that a heritage asset has no viable use. Evidence that conservation by grant-funding or some form of not for profit, charitable or public ownership is demonstrably not possible should also be submitted.

#### Useful information:

Heritage Impact Assessment - <https://www.newark-sherwooddc.gov.uk/planning/heritageconservation/planningandheritageassets/#d.en.108968>

Conservation Area Appraisals - <https://www.newark-sherwooddc.gov.uk/planning/heritageconservation/conservationareas/#d.en.99297>

## 19. Highway Information for all new residential development

### Threshold/Trigger

All new residential development

### Details of what should be included

In addition to the national requirement for detailed scaled plans the following information is also required:

- Driveway Width (for all new driveways – both shared private driveways and individual driveways)
- Driveway Visibility (for all new driveways – both shared private driveways and individual driveways) and where new boundary treatment is proposed that could affect highway visibility
- Driveway Length (for all new driveways – both shared private driveways and individual driveways)
- Turning areas (for shared driveways)
- Driveway Gradient (for all new driveways – both shared private driveways and individual driveways)
- Bin storage / collection point



- Parking areas

### Other Information

For detailed information regarding general principles and minimum standards for the layout and dimensions of roads and paved areas in residential and industrial developments, please see the 6Cs Highway Design Guide available to view at [www.nottinghamshire.gov.uk/transport/roads/highway-design-guide](http://www.nottinghamshire.gov.uk/transport/roads/highway-design-guide)

## 20. Landscape and Visual Assessments

### Threshold/Trigger

Landscape and visual assessments are required to assess any potential impacts of a proposal on visual amenity and landscape character.

Statements will be required for all proposals which impact on the visual amenity or landscape character of an area. These include, but are not limited to:

- Proposals for wind turbines or solar farms
- Large scale developments
- Certain developments in the Open Countryside

### Details of what should be included

The following documents are available on our website and set the policy background for landscape and visual assessments:

- [Landscape Capacity Study](#)
- [Landscape Character Assessment SPD \(LCA SPD\)](#)
- Core Policy 13: Landscape Character of the Newark and Sherwood Core Strategy

A landscape and visual assessment should usually include the following information:

- Topography: Explanation of how the topography of the site has affected the design of the proposed scheme.
- Current land uses: Explanation of any change of use of land and how it will affect the appearance of the landscape or adjoining land uses
- Existing trees, hedges, woodland blocks and belt
- Water bodies and ditches: Explanation of the effect of the proposed development on reservoirs, watercourses, ponds etc. that are important for site drainage and wildlife habitat.
- Man-made features: Consideration should be given to any existing visually intrusive man-made features
- Views: Identify key views from the surrounding area to the development site. Explain how the proposed development will be likely to be visible from and/or alter these views. This should include any changes to boundary treatments, access or vegetation. Consideration should be given as to whether the proposed development is likely to be visible from surrounding areas where there currently no views. Photos of the site from key views should be provided. These should include existing views and views with the proposed development super-imposed.
- Landscape Character: Where the proposal is located within open countryside or a small settlement, describe the landscape character of the application site and adjacent surroundings. Provide an analysis of the key landscape features and special qualities of the area. Include details of any historic pattern of field boundaries, woodlands and/or settlements in the surrounding area.
- Settlement Character: Where the proposal is located within or adjacent to an existing settlement, describe the character of the settlement. This should include the type of settlement (town, village or hamlet), the predominant type of building (terraced, detached, single or two storey, architectural style, age and typical building materials). Provide an explanation of the effect of the proposal on key views to the wider landscape from the settlement together with the effect of the proposal on local landmarks or any approach roads, gateways and footways to the settlement.
- Habitat Character: Where the proposal is located on land or is adjacent to land that could provide priority habitats for wildlife (this may include unimproved upland, moorland, coastal wetland or limestone pavement), describe the effect the proposal may have upon the habitat character, together with any mitigation.

- Heritage Assets: Where the development is located within or adjacent to a heritage asset (listed buildings, scheduled monuments, conservation areas and registered parks and gardens), describe the effect the proposal may have upon any heritage assets.
- Non-designated heritage assets: These may include above and below ground archaeology. Buildings, land or features with a historic, architectural community or archaeological interest can be considered as heritage assets, even if they are not nationally designated. Archaeological interest may apply to heritage assets, whether designated or not, when the development and history of a building may only be revealed through archaeological investigation, when modern features and additions are removed.

## 21. Landscaping Scheme (Hard and Soft Landscaping)

### Threshold/Trigger

Where extensive hard or soft landscaping is proposed. In a number of cases these can be dealt with by way of a planning condition once planning permission has been granted. However, if a scheme is particularly sensitive from either an ecological or visual point of view, then these may be required at the validation stage.

### Details of what should be included

- Metric scale 1:500 (Layout plans) and 1:200 or 1:100 (Planting schemes).
- For residential development, plot nos. should be identified.
- Topographical site survey showing spot levels, contours, structures, walls, fences, existing trees (Root Protection Areas), significant shrubs and vegetation; service runs and easements; buildings on site and building edges off-site.
- Planting plan should include positions, species/variety, density of planting, maximum size at maturity, grille and guard specifications, weed control measures, slope stabilisation methods, protective measures (from vehicle and pedestrian movements, grazing animals, vandalism etc.)
- Management plans, including objectives and after care maintenance.
- Hard landscaping plans should include details of surfacing, footways, boundary walls/fences, retaining walls; protective measures against vehicle impact, pedestrian shortcuts, vandalism (bollards, tree guards, permanent fencing, low walls etc.); lighting, street furniture, special features (art work etc.), refuse storage structures, utility routes, sub-stations etc.

## 22. Open Space Statement

### Threshold/Trigger

An Open Space Statement is required for all developments that will result in the loss of open space

### Details of what should be included

Application proposals should be accompanied by plans showing any areas of existing or proposed open space within or adjoining the application site. Planning permission is not normally given for development of existing open spaces which local communities need. However, in the absence of a robust and up-to-date assessment by a Local Planning Authority, an applicant for planning permission may seek to demonstrate through an independent assessment that the land or buildings are surplus to local requirements.

Applications involving the loss of playing fields.

The following information is required:

| Document   | Presenting details on....   |   |
|--|---|---|
| <b>Required for all applications</b>                                     |   |   |
| Consultation notice  | 1. The development proposed (description), timescales, case officer contact details and how information can be viewed.  |   |
| Existing site plan   | 2. Extent of the playing field as defined by The Town and Country Planning (Development Management Procedure) (England) Order 2015.<br>3. Location and nature of existing buildings.<br>4. Location and nature of existing facilities for sport (including the layout of summer and winter playing pitches).<br>5. Significant features (e.g. trees, slopes, paths, fences, sewers) <sup>1</sup> .<br>6. Existing levels across the site <sup>1</sup> .   |   |
| Proposed site plan   | 7. Location and nature of the proposed development.<br>8. Extent of playing field area to be lost (including the area covered by the proposed development and any associated works, e.g. landscaping).<br>9. Location and nature of all existing facilities for sport (clearly showing any revised locations from the existing plan).<br>10. Any changes to existing features and levels <sup>1</sup> .   |   |
| Supporting statements  | 11. Extent of playing field area to be lost (area in hectares and see point 8 above).<br>12. Reason for the chosen location and alternatives considered.<br>13. Any proposed changes in the provision of indoor and outdoor facilities for sport on the site (including ancillary facilities).  |   |
| <b>Document Present details on...</b>                                    |   |   |
| <b>Required in relation to specific playing fields policy exceptions</b> |   | <b>Exceptions</b>   |
| Drawings   | 14. Internal layouts and elevations for proposed new, extended or enhanced facilities for sport (including relevant ancillary facilities) <sup>1</sup> .  | 2, 4 and 5  |
| Supporting statements  | 15. Current and recent users of the playing field and the nature and extent of their use.<br>16. How the development fits with the findings of any relevant assessment of need and/or sports related strategy (a copy of, or a web link to, the assessment or strategy should be provided) <sup>1 2</sup> .<br>17. How the development will be of benefit to sport (including benefit to existing and potential users) <sup>2</sup> .<br>18. The specification of any ancillary facilities e.g. sports lighting <sup>1</sup> .<br>19. The specification of any Artificial Grass Pitch and reason for the chosen surface type <sup>2</sup> .<br>20. How any replacement area of playing field and ancillary facilities will be delivered (including to what timescale).<br>21. How, for any replacement area of playing field, equivalent or better quality will be achieved and maintained, including <sup>3</sup> :<br>a. An assessment of the performance of the existing area;<br>b. The programme of works (including pitch construction) for the creation of the proposed replacement area;<br>c. A management and monitoring plan for the replacement area. | 1, 4 and 5<br>1, 4 and 5<br>2, 4 and 5<br>2, 4 and 5<br>4 and 5<br>4<br>4 |

1. Level of detail proportionate to the nature of the development and its impact on the playing field.
2. Relevant for Exception 4 where the loss of an area of playing field with a natural grass surface is proposed to be replaced elsewhere by a new area of playing field with an artificial surface.
3. Details should be undertaken and developed by a suitably qualified and experienced sports turf consultant, satisfy appropriate Sport England and National Governing Bodies of sport design guidance, and have regard to Sport England's 'Equivalent Quality Assessment of Natural Turf Playing Fields' briefing note.

Note: As set out within the Government's Planning Practice Guidance, any plans or drawings must be drawn to an identified scale, and in the case of plans, must show the direction of north. Although not a requirement of legislation, the inclusion of a linear scale bar is also useful, particularly in the case of electronic submissions.

- The size of the existing playing field and how much of the playing field is affected by the proposal (in ha or m<sup>2</sup>);
- Existing site plan, clearly showing the layout of the winter and summer pitches including safety margins at a minimum 1:1000 scale;
- Proposed site plan, showing how any proposed new buildings and other works are likely to impact on the existing pitch layout. Any realignment of pitches should also be shown;
- Copy of any relevant correspondence, supporting statement or submission, including when last used, used by whom, in addition to what formal sports provision is being proposed if any), including replacement facilities;
- Any information of alternative sport and recreational provision.

Open space required as part of new development for other purposes

Where an application for new development (usually, but not exclusively, for residential purposes) generates a requirement for open space provision in accordance with the planning policy prevailing at the time, details of the provision must be included in the application. The details must include:

- The precise location of the open space land in relation to the layout of the overall scheme (normally, the open space should be shown on the site layout plan(s).
- Details of the layout of the open space including all fixed equipment, fencing, access arrangements and planting;
- A timescale for the open space to be completed and made available, preferably in relation to the timescale for the overall development;
- Proposals for the future maintenance of the open space. If this involves transferring the site into Council ownership, draft heads of terms of the necessary [s106 agreement](#);
- If provision is to be met by a financial arrangement rather than on site, draft heads of terms of the necessary [s106 agreement](#).

#### Other Information

Further information can be found on the Sports England website <https://www.sportengland.org/how-we-can-help/facilities-and-planning/planning-for-sport>

### 23. Noise Impact Assessment

#### Threshold/Trigger

A Noise Impact Assessment is required to determine whether a proposed development will have a significant impact on existing noise levels or whether, when all appropriate forms of mitigation have been considered, the existing noise environment will adversely affect the proposed development. They are required for the following types of development:

- Proposed developments that have the potential to generate noise, for example, industrial units, installation of external air conditioning and ventilation / exhaust system / flue units, workshops, day nurseries, nightclubs, public houses, restaurants/takeaways, schools/colleges, outdoor sports facilities etc.
- Proposed developments located next to an existing noise source, for example, next to an industrial site, a busy road, or railway line.

#### Details of what should be included

A noise impact assessment should include the following information:

- Existing background noise levels measured over a 24-hour period (including the cumulative noise levels of all existing units)
- Proposed noise levels (including the cumulative noise levels of all proposed units)
- Any proposed measures to reduce noise from the proposed development
- The system manufacturer's specification of any proposed equipment to be installed, altered or replaced
- Details of the method used to compile the report and examples of the calculations and assumptions made.

Please note that you cannot carry out a noise impact and sound insulation assessment yourself. It must be completed by a qualified acoustician.

#### **Other Information**

For the installation of external air conditioning and ventilation / exhaust system / flue units a separate ventilation and extraction report is required. [Please see separate section in this document.](#)

Please see the relevant prevailing British Standards and Good Practise Guidance

## **24. Odour Impact Assessment**

### **Threshold/Trigger**

An Odour Impact Assessment is required to determine whether a suitable standard of amenity can be achieved where development proposals are located adjacent to or within existing uses that might cause a detrimental impact. Examples might include

- water recycling centres (sewage treatment works);
- Agricultural and similar activities that might involve waste handling e.g. poultry sheds

### **Details of what should be included**

An odour impact assessment should include the following information:

- include details of the baseline of the existing climate around the site
- identify operations that could lead to the generation of odours
- assess the change in baseline conditions that may result from the proposed development
- identify the receptors that could be affected by the odours arising from proposed operations on the site
- recommend mitigation and management measures such as those on [DEFRA website](#), including: site layout, enclosure in buildings, managing stockpiled waste and open ground
- recommend proposals to monitor and report on odours and enable effective response to any complaints

Please note that you cannot carry out an odour impact and sound insulation assessment yourself. It must be completed by a qualified odour consultant.

### **Other Information**

Guidance on the assessment of Odour for planning. Institute of Air Quality Management, May 2014:  
<http://iaqm.co.uk/text/guidance/odour-guidance-2014.pdf>

IPPC SRG 6.02 (Farming) Odour Management at Intensive Livestock Installations, Environment Agency 2005:  
[https://www.sepa.org.uk/media/60931/ippc\\_srg6\\_02\\_odour-management-at-intensive-livestock-installations-may-2005.pdf](https://www.sepa.org.uk/media/60931/ippc_srg6_02_odour-management-at-intensive-livestock-installations-may-2005.pdf)

IPPC H4 Odour Management Guidance: Environment Agency:  
[https://assets.publishing.service.gov.uk/government/uploads/system/uploads/attachment\\_data/file/296737/geho0411btqm-e-e.pdf](https://assets.publishing.service.gov.uk/government/uploads/system/uploads/attachment_data/file/296737/geho0411btqm-e-e.pdf)

Advice can also be sought from the relevant sewerage company – Anglian Water or Severn Trent

## **25. Planning Obligations Pro Forma Statement**

### **Threshold/Trigger**

A Section 106 Agreement is required to secure the following:

#### ***Affordable Housing***

- All new housing developments involving more than 10 units (i.e. 11 or more) or where the maximum combined gross floorspace exceeds 1,000 square metres (gross internal area) regardless of the number of units.
- All applications for rural affordable housing that would not otherwise be acceptable in principle regardless of the number of units or floorspace.

### Community Facilities

- Residential developments of 10 or more dwellings

### Education

- Residential developments of 10 or more dwellings and where a development generates a need for additional places to be provided in existing primary schools
- In some circumstances (i.e. large scale major housing scheme) the size of the development will generate a requirement for a new school. Where this is required the developer will be expected to provide a site and construction costs including professional fees, furniture and equipment
- Proposals to redevelop an existing school site by a developer would normally trigger need for a replacement school (where the existing school is not surplus to requirements).

### Health

- Residential – 65 dwellings or more and/or
- Development which places extra demand on the local health care provision through its operation
- Applications for the development of concentrated / multi-tenant housing such as residential care homes, nursing homes, sheltered housing or student accommodation will need to be assessed for their impact on the local healthcare functions on a case by case basis

### Libraries

- Residential development of 10 or more dwellings which generates a need for additional library provision.

### Open Space

New housing developments as set out below:

- Provision for children and young people; 10 or more dwellings in Settlements Central to delivering the Spatial Strategy<sup>1</sup> or 5 or more dwellings in all other areas of the District
- Amenity green spaces – 30 or more dwellings
- Outdoor sports facilities – 100 or more dwellings
- Allotments and community gardens – 400 or more dwellings
- Natural and Semi-Natural Green Space – 10 or more dwellings

In respect of the above, smaller developments may be required to make a contribution where the development creates or exacerbates a deficiency of open space in the area.

### Open Space - Suitable Alternative Natural Green Space (SANGS)

- Developments within a 5km radius of Birklands and Bilhaugh SAC (Edwinstowe and Ollerton) that add additional recreational pressure on the SAC by increasing the number of people to the area that may then use the SAC.

### Transport

| Type of Development                         | Unit size triggering 30 two-way peak hour vehicle trips                    |
|---|--|
| Food retail                                 | Per 250m <sup>2</sup> gross floor area(gfa)                                |
| Non-food retail                             | Per 800m <sup>2</sup> gfa  |
| Residential*                                | Per 50 Units or 1.2hectares  |
| B1(a)** Office                              | Per 1,500m <sup>2</sup> gfa  |
| B1** Non-office / B2 General Industry       | Per 2,500m <sup>2</sup> gfa  |
| B8 Storage & Distribution                   | Per 3,000 m <sup>2</sup> gfa   |
| Other Uses - Transport Statement thresholds | Please see <a href="#">section on Transport Statement/Assessment</a> below |

<sup>1</sup> Newark Urban Area, Southwell, Ollerton & Boughton, Clipstone, Rainworth, Collingham, Sutton-on-Trent, Farnsfield, Lowdham, Bilsthorpe, Edwinstowe and Blidworth

\* It would be reasonable to expect a contribution towards a residential development containing solely flats/apartments to be in the region of 50% of that expected for Dwelling houses.

\*\* The Use Classes referred are those set out in legislation prior to the Use Class Order being amended in 2020. Any proposal submitted in relation to development types now falling into a different use class should have consideration to the thresholds provided for their former class.

#### **Details of what should be included**

All applications that meet the above triggers must be accompanied by a Draft Heads of Terms. This should include:

- Confirmation as to who is drafting the S106 Agreement (i.e. – if you wish this LPA to draft this, you will need to confirm that you are prepared to pay our reasonable costs)
- Names, addresses and contact details of the solicitor being used.
- Proof of Title /Land Registry Information
- Details of the Proposal
- Details of what the Obligation(s) is/are for
- Details of who the interested parties.

If the developer considers that it is not financially viable to enter into an Agreement or that they wish to make reduced payments, a clear and robust Financial Viability Assessment must be submitted, See details for viability assessments below.

#### **Other Information:**

Local authorities are required to keep a copy of any planning obligation together with details of any modification or discharge of the planning obligation and make these publicly available on their planning register.

The detailed requirements can be found in the District Council's Supplementary Planning Document on Developer Contributions [www.newark-sherwooddc.gov.uk/spds/](http://www.newark-sherwooddc.gov.uk/spds/)

## **26. Planning Statement**

### **Threshold/Trigger**

Required for

- All major planning applications
- Applications that are not in accordance with the development plan
- Proposals that require detailed policy consideration

### **Details of what should be included**

This should provide an explanation and justification for the proposals in the context of relevant national and local plan policies. A suitable statement may include:

- An assessment of the site and its context
- A description of the proposed development
- An assessment of the relevant planning policy and an appraisal of how the proposal accords with that policy context
- The need for the development and any benefits that would arise from the proposed development (such as economic benefits from new employment, provision of community facilities, affordable housing, environmental improvements, regeneration etc.)

## **27. Rights of Way**

### **Threshold/Trigger**

Required for:

Inclusion of a Right of Way (RoW) within the application boundary or alongside the outside edge

### **Details of what should be included**

- A plan showing how the RoW is affected or being protected
- A statement of how the RoW will be managed during the development:
  - ability to keep the path open;

- requirement to apply for a temporary traffic regulations order (TTRO) to close the path for the duration due to public safety/provide alternative route;
- requirement to apply for a diversion or extinguishment of the path;
- Whether improvement to the paths are anticipated as a result of increased and higher level use and how that is to be managed. This may involve a 106 agreement
- Proposed future maintenance of the RoW if it is within public open space
- Information as to the future ownership of the land over which the path runs on completion of the development

**Other information:**

Early engagement with Rights of Way Team is encouraged: Email: [countryside.access@nottsc.gov.uk](mailto:countryside.access@nottsc.gov.uk)  
 Phone: 0300 500 8080 Website: [www.nottinghamshire.gov.uk](http://www.nottinghamshire.gov.uk).

**28. Statement of Community Involvement**

**Threshold/Trigger**

Required for all applications where pre-application consultation has taken place with the local community

**Details of what should be included**

The National Planning Policy Framework advises local planning authorities to encourage developers to engage with the local community before submitting their planning application.

The Council will encourage developers to undertake early community consultation particularly for planning proposals that may give rise to local controversy, those that are on sensitive sites or those that are significant in scale. Where pre-application community consultation takes place (which may include local public exhibitions, notices in the press and around the site, notification to local councillors and Parish Councils), a statement should be submitted to describe how, when and where consultation has taken place; a summary of the level and content of responses; and, any changes that have been made to the proposed scheme to take account of those responses.

Further information may be found within the Council’s adopted [Statement of Community Involvement](#)

**29. Street Scene Plans**

**Threshold/Trigger**

As requested by the Local Planning Authority

**Details of what should be included**

Scaled drawings - In some circumstances the LPA will require drawings showing elevations in the context of the street scene. This is to assess the design of the scheme in general and to assess how the proposal will integrate into the existing neighbourhood. For larger developments, internal street scenes will also be required to assess the design of the scheme.

**30. Structural Survey**

**Threshold/Trigger**

- Proposals involving the demolition of heritage assets
- Proposals involving the conversion of heritage assets involving significant re-building works (i.e. which involve replacing/rebuilding some or part of a roof or a wall)
- Proposals involving the conversion of rural buildings (both planning applications and change of use prior notification applications)
- Works for applications to trees protected by a Tree Preservation Order where the reason for works relates to structural issues

**Details of what should be included**

This survey must be carried out by a suitably qualified person (such as a chartered member of the Institution of Structural Engineers or equivalent). Each survey must contain the author’s name and qualifications.

For proposals involving the demolition of heritage asset, a full external and internal structural survey is required to explore the structural condition of the building and demonstrate that the building is not structurally capable of repair and/or conversion.



For proposals involving the conversion of a heritage asset or a rural building, a full external and internal structural survey is required to demonstrate that the building is capable of conversion without the need for substantial re-building works. This should be accompanied annotated plans to show the extent of any repair or re-build works as appropriate.

### 31. Telecoms Supporting Information

#### Details of what should be included

Confirmation that development is by or on behalf of a telecommunications code system for operation for the purpose of the operator's telecoms systems (Class A of Part 16 of the General Permitted Development Order).  
Evidence that the use of an existing mast, building or structure has been considered.  
Statement that the proposed mobile phone base station, when operations will meet ICNIRP guidelines.  
Confirmation of the frequency and modulation characteristics and details of power output in relation to antenna.

### 32. Tourism Need Statement

#### Threshold/Trigger

Application for new tourist accommodation outside of defined built-up areas (within the Open Countryside).

#### Details of what should be included:

This Report would need to provide evidence to demonstrate that there is an identified need in this area for visitor accommodation and should quote information from the NSDC Visitor Economy Strategy 2020-23 and other sources, as appropriate.

#### Other Information

Policy DM8 of the Allocations and Development Management DPD, Core Policy 7 of the Core Strategy, D2N2 Visitor Accommodation Strategy 2017.

### 33. Town Centre Uses Assessment

#### Threshold/Trigger

Main town centre uses (see definition below) will require a 'Town Centre Use Assessment' in the following circumstances:

- Sequential Assessment is required for all proposed main town centre uses and retail development, not in an existing centre (area defined on a LPA proposal map) and not in accordance with an up-to-date Development Plan (except for small scale rural offices or other small scale rural development).
- Impact Assessment is required for:
  - Proposed retail development which is located outside of a defined centre and has a gross floorspace equalling or exceeding the levels below:-
    - Newark Urban Area - 400 sqm. (gross)
    - Rest of the District - 350 sqm. (gross)
  - Proposed leisure and office development which is located outside of a defined centre and has a gross floorspace equalling or exceeding 2,500 sqm. (gross)
  - Proposed leisure and office development which is located outside of a defined centre and has a gross floorspace of less than 2,500 sqm. (gross) where specifically requested by the Local Planning Authority.

#### Definition: Main town centre uses comprise:

- retail development (including warehouse clubs and factory outlet centres);
- leisure, entertainment facilities the more intensive sport and recreation uses (including cinemas, restaurants, drive-through restaurants, bars and pubs, night-clubs, casinos, health and fitness centres, indoor bowling centres, and bingo halls);
- offices;
- arts, culture and tourism development (including theatres, museums, galleries and concert halls, hotels and conference facilities).

**Details of what should be included:**

**Sequential Test** – This should assess the application against the town centre first policy, i.e. it should demonstrate that there are no other more suitable, viable and available sites in town centres, then in edge of centre locations and only if suitable sites are not available should out of centre sites be considered.

**Impact Assessment** – The scope of an impact assessment should be proportionate to the level and type of development proposed and can be agreed as part of the Council’s pre-application enquiry service (see top of this document).

An Impact Assessment should include assessment of:-

- the impact of the proposal on existing, committed and planned public and private investment in a centre or centres in the catchment area of the proposal; and
- The impact of the proposal on town centre vitality and viability, including local consumer choice and trade in the town centre and wider area, up to 5 years from the time the application is made. For major schemes where the full impact will not be realised in 5 years the impact should also be assessed for up to 10 years from the time the application is made.

**Other Information**

Please refer to the NPPF, Policy DM11 of the Allocations and Development Management DPD and Core Policy 8 of the Core Strategy.

**34. Transport Statement/Assessment****Threshold/Trigger**

All developments that generate significant amounts of transport movement should be supported by a Transport Statement or Transport Assessment. This includes:-

- Those sites identified within the Amended Core Strategy (March 2019) or Allocations & Development Plan Document (July 2013) [ or as may be amended];
- Sites near to environmental designations or sensitive areas (such as Special Protection Area);
- Cumulative impact of multiple developments.

| Proposal*   | Transport Statement or Transport Assessment when gross floor area (GFA) | Travel plan required, if floor space exceeds |
|---|---|--|
| Food retail development                                     | 250 sq m or more  | 800 sq m                                     |
| Non-food retail development (A1)                            | 800 sq m or more  | 1500 sq m                                    |
| Financial and professional services development (A2)        | 1000 sq m or more   | 2500 sq m                                    |
| Restaurant and cafes development (A3)                       | 300 sq m or more  | 2500 sq m                                    |
| Drinking establishments (A4)                                | 300 sq m or more  | 600 sq                                       |
| Hot food takeaway (A5)                                      | 250 sq m or more  | 500 sq m                                     |
| Offices and light industry (B1)                             | 1500 sq m or more   | 2500 sq m                                    |
| General industry development (B2)                           | 3000 sq m or more   | 5000 sq m                                    |
| Storage and distribution (B8)                               | 3000 sq m or more   | 5000 sq m                                    |
| Hotels, guest and boarding houses (C1)                      | Number of bedrooms exceeds 75   | Number of bedrooms exceeds 100               |
| Residential institutions (C2) hospitals, nursing homes etc. | Over 30 beds  |  |
| Residential institutions (C2) – educational institutions    | Number of students exceeds 50   | Number of students exceeds 150               |
| Residential institutions (C2) – other institutions          | Number of residents exceeds 250   | Over 400 residents                           |
| Residential development (C3)                                | Number of residents is 50 or more                                       | Over 80 residents                            |
| Non-residential institutions (D1)                           | 500 sq m or more  | 1500 sq m                                    |

|   |                  |           |
|---|------------------|-----------|
| Assembly and leisure development (D2)   | 500 sq m or more | 1500 sq m |
| For other forms of development not listed, please seek advice direct from Nottinghamshire County Council Highways Authority.  |                  |           |
| * Please note the Use Classes referred are those set out in legislation prior to the Use Class Order being amended in 2020. Any proposal submitted in relation to development types now falling into a different use class should have consideration to the thresholds provided for their former class. |                  |           |

### Details of what should be included

The scope and level of detail in a Transport Assessment or Statement will vary from site to site but the following should be considered when settling the scope of the proposed assessment:

- information about the proposed development, site layout, (particularly proposed transport access and layout across all modes of transport);
- information about neighbouring uses, amenity and character, existing functional classification of the nearby road network;
- data about existing public transport provision, including provision/ frequency of services and proposed public transport changes;
- a qualitative and quantitative description of the travel characteristics of the proposed development, including movements across all modes of transport that would result from the development and in the vicinity of the site;
- an assessment of trips from all directly relevant committed development in the area (i.e. development that there is a reasonable degree of certainty will proceed within the next 3 years);
- data about current traffic flows on links and at junctions (including by different modes of transport and the volume and type of vehicles) within the study area and identification of critical links and junctions on the highways network;
- an analysis of the injury accident records on the public highway in the vicinity of the site access for the most recent 3-year period, or 5-year period if the proposed site has been identified as within a high accident area;
- an assessment of the likely associated environmental impacts of transport related to the development, particularly in relation to proximity to environmentally sensitive areas (such as air quality management areas or noise sensitive areas);
- measures to improve the accessibility of the location (such as provision/enhancement of nearby footpath and cycle path linkages) where these are necessary to make the development acceptable in planning terms;
- a description of parking facilities in the area and the parking strategy of the development;
- ways of encouraging environmental sustainability by reducing the need to travel; and
- measures to mitigate the residual impacts of development (such as improvements to the public transport network, introducing walking and cycling facilities, physical improvements to existing roads.

In general, assessments should be based on normal traffic flow and usage conditions (e.g. non-school holiday periods, typical weather conditions) but it may be necessary to consider the implications for any regular peak traffic and usage periods (such as rush hours). Projections should use local traffic forecasts such as TEMPRO drawing where necessary on National Road Traffic Forecasts for traffic data.

The timeframe that the assessment covers should be agreed with the local planning authority in consultation with the relevant transport network operators and service providers. However, in circumstances where there will be an impact on a national transport network, this period will be set out in the relevant government policy.

### Other Information

The Highways Authority is based at Nottinghamshire County Council. Contact: Highways North Area Office, Nottinghamshire County Council, Welbeck House, Darwin Drive, Sherwood Energy Village, Ollerton, NG22 9FF. Tel 0300 500 8080 Website: [www.nottinghamshire.gov.uk](http://www.nottinghamshire.gov.uk)

[Planning Practice Guidance](#)

[National Planning Policy Framework](#)

## 35. Travel Plan

### Threshold/Trigger

All developments that generate significant amounts of transport movement should be supported by a Travel Plan. This includes:-

| Proposal *  | Gross Floor Area (GFA) sq m | No. of bedrooms | No. of Students or Residents  | No. of Dwellings |
|---|-----------------------------|-----------------|---|------------------|
| Food retail development (A1)                                | In excess of 800            |                 |   |                  |
| Non-food retail development (A1)                            | In excess of 1500           |                 |   |                  |
| Financial and professional services (A2)                    | In excess of 2500           |                 |   |                  |
| Restaurant and cafes development (A3)                       | In excess of 2500           |                 |   |                  |
| Drinking establishments (A4)                                | In excess of 600            |                 |   |                  |
| Hot food takeaway (A5)                                      | In excess of 500            |                 |   |                  |
| Offices and light industry (B1)                             | In excess of 2500           |                 |   |                  |
| General industry development (B2)                           | In excess of 4000           |                 |   |                  |
| Storage and distribution (B8)                               | In excess of 5000           |                 |   |                  |
| Hotels, guest and boarding houses (C1)                      |                             | Exceeds 100     |   |                  |
| Residential institutions (C2) hospitals, nursing homes etc. |                             | Exceeds 50 beds |   |                  |
| Residential institutions (C2)                               |                             |                 | In the case of educational institutions where no. of students exceeds 150 or for other institutions where no. of residents exceed 400 |                  |
| Residential development (C3)                                |                             |                 |   | 80 or more       |
| Non-residential institutions (D1)                           | In excess 1000              |                 |   |                  |
| Assembly and leisure development (D2)                       | In excess 1500              |                 |   |                  |

\* The Use Classes referred are those set out in legislation prior to the Use Class Order being amended in 2020. Any proposal submitted in relation to development types now falling into a different use class should have consideration to the thresholds provided for their former class.

A **Full Travel Plan** should normally be submitted to support a full planning application. This will include clear targets, measures to achieve those targets, and a monitoring & review framework.

An **Interim (Outline) Travel Plan** may be more appropriate for certain applications (particularly outline applications) where there are few occupiers (less than five) and these occupants remains unknown. They should still include clear targets (based on maximum car trips) but some aspects may remain provisional (i.e. details of measures). An important component of the Interim Travel Plan would be a timeframe in which to develop and agree with the local highway authority a full Travel Plan.

A **Framework Travel Plan** can be submitted in the case of large developments with multiple (more than five) occupants and where the occupier(s) remains unknown. It should focus on targets and measures across the whole site and should be administered centrally. As individual occupiers come to the site, they should develop unit Travel Plans that are consistent with the Framework Travel Plan. As large sites can take some time to occupy, the Framework Travel Plan should include as a key component a clear timetable setting out when measures would be enacted.

**For other forms of development not listed, please seek advice direct from Nottinghamshire County Council Highways Authority.**

### Details of what should be included:

Travel Plans need to be proportionate to the type of development they are supporting as set out above. They should identify the specific required outcomes, targets and measures, and set out clear future monitoring and

management arrangements all of which should be proportionate. They should also consider what additional measures may be required to offset unacceptable impacts if the targets should not be met.

Travel Plans should set explicit outcomes rather than just identify processes to be followed (such as encouraging active travel or supporting the use of low emission vehicles). They should address all journeys resulting from a proposed development by anyone who may need to visit or stay and they should seek to fit in with wider strategies for transport in the area.

They should evaluate and consider:

- benchmark travel data including trip generation databases;
- Information concerning the nature of the proposed development and the forecast level of trips by all modes of transport likely to be associated with the development;
- relevant information about existing travel habits in the surrounding area;
- proposals to reduce the need for travel to and from the site via all modes of transport; and
- provision of improved public transport services.

They may also include:

- parking strategy options (if appropriate – and having regard to national policy on parking standards and the need to avoid unfairly penalising motorists); and
- proposals to enhance the use of existing, new and improved public transport services and facilities for cycling and walking both by users of the development and by the wider community (including possible financial incentives).

These active measures may assist in creating new capacity within the local network that can be utilised to accommodate the residual trip demand of the site(s) under consideration.

It is often best to retain the ability to establish certain elements of the Travel Plan or review outcomes after the development has started operating so that it can be based upon the occupational and operational characteristics of the development.

Any sanctions (for example financial sanctions on breaching outcomes/processes) need to be reasonable and proportionate, with careful attention paid to the viability of the development. It may often be more appropriate to use non-financial sanctions where outcomes/processes are not adhered to (such as more active or different marketing of sustainable transport modes or additional traffic management measures). Relevant implications for planning permission must be set out clearly, including (for example) whether the Travel Plan is secured by a condition or planning obligation.

Travel Plans can only impose such requirements where these are consistent with government policy on planning obligations.

#### **Other Information**

It should be noted that if a development meets the thresholds that trigger the need for a Travel Plan you will also need to provide a Transport Statement/Assessment (See section above)

Nottinghamshire County Council has guidance on their website at [www.nottinghamshire.gov.uk/transport/public-transport/plans-strategies-policies/travel-plans](http://www.nottinghamshire.gov.uk/transport/public-transport/plans-strategies-policies/travel-plans) and may be contacted via telephone: 0300 500 80 80 or email: [transport.strategy@nottscc.gov.uk](mailto:transport.strategy@nottscc.gov.uk).

### **36. Tree Surveys**

#### **Threshold/Trigger**

A tree survey should accompany all applications where trees may be affected by the proposed development. This includes trees on adjacent land or highways [in addition to Ancient Woodlands or existing woodlands](#)

#### **Details of what should be included**

Detailed information as to what to include in a tree survey as per the specification of BS 5837:2012. The survey should be proportionate to the both the amount of development proposed and the number and importance of trees affected by the development.

The location of the trees must be identified on a scaled plan and the planning case officer will also conduct a site visit to check whether trees are present.

If you are unsure whether the development may affect trees you should, as a minimum, submit a site plan to a scale of 1:200 or 1:500 indicating the proposed development and location of tree trunks and the crown spread of all trees within falling distance of the boundary of the application site. Based on this site plan a tree survey may or may not be requested following a desktop review of aerial photographs and other spatial data.

Tree surveys shall include all the information required as per the specification of BS 5837:2012, or by any subsequent updates to this standard.

This includes:

- Location of all existing trees (reference number to be recorded on the tree survey plan) over 75mm in diameter measured at 1.5m above ground level which are:
  - Within the site
  - Overhanging the site
  - Within a distance of the boundary of the site which is less than half the height of the tree; or
  - Located on land adjacent to the development site that might influence the site or might be important as part of the local landscape character.
- Trees which are less than 75mm diameter at 1.5m above ground need not be accurately surveyed but should be indicated;
- Existing buildings/structures;
- Hard surfaces;
- Water courses;
- Overhead cables;
- Underground services including their routes and depths;
- Ground levels throughout the site;
- Location of all existing hedges, a list of the woody species that they contain and details of any features within the hedge, e.g. banks or supporting walls;
- Soil type(s);
- Wildlife features (e.g. birds, nests, bat roosts - see Wildlife and Countryside Act 1981);
- Historical features; and
- Any other hard features.

A Tree Survey shall include a Tree Constraints Plan, a Tree Protection Plan and an Arboricultural Impact Assessment. The tree survey must also include the following information about the trees (that have a diameter greater than 75mm) which are indicated on the plan:

- Species (common and scientific name, where possible);
- Height;
- Diameter of the stem measured at 1.5m above ground level;
- Root Protection Area (RPA);
- Canopy spread of each tree for all four compass points;
- Age Class (e.g. young, semi-mature, mature, over-mature, etc.);
- Assessment of the condition including trunk, crown, roots;
- Life expectancy (e.g. very long, long, medium, short, very short); and
- A full schedule of tree works including those to be removed and those remaining that require remedial works to ensure acceptable levels of risk and management in the context of the proposed development. The method of disposal of all arisings should also be included along with the precautions to be taken to avoid damage to Root Protection Areas and trees to be retained;
- Amenity value- both existing amenity value and proposed amenity value;
- British Standard status - colour coded system identifying suitability for retention; and
- A schedule to the survey should list all the trees or groups of trees.

In assessing the amenity value of trees, regard should be given to three criteria:

- Visibility: The extent to which the trees or woodlands can be seen from a public viewpoint (e.g. a footpath or road);
- Size and Form: Taking into account factors such as the rarity of trees, their potential growth, and their value as a screen;
- Wider Impact: The significance of the trees in their local surroundings taking into account how suitable they are to their particular setting, as well as the presence of other trees in the vicinity; and
- All trees that are surveyed must be clearly tagged on site to enable their identification when considering the tree survey.

Further information on trees, as well as a description of common tree work operations can be found on [The Arboricultural Association website](#).

In the case of Ancient Woodlands and/or veteran trees, wholly exceptional reasons and suitable compensation strategy must be provided as part of the application. The assessment shall include:

- A statement demonstrating whether the ancient woodland is the only place for the proposal;
- The size of ancient woodland to be affected
- Whether any area of woodland will be lost
- How well connected the woodland is
- Whether there will be damage to root protection areas of the woodland or individual trees
- A survey for protected species (see section 12: Ecological and Protected Species Assessment)
- Whether the development has the potential to affect the woodland through changes to air quality or to ground water (pollutants or changes in hydrology). If yes, an assessment and mitigation is required
- Whether access to the woodland will increase
- The current function and planned function, of the land to be lost to development
- Whether any proposed landscaping includes native or exotic species
- Conclusions – of the likely impacts and any mitigation required

Further information on Ancient Woodlands can be found at <https://www.gov.uk/guidance/ancient-woodland-and-veteran-trees-protection-surveys-licences>.

#### Other Information

For further information please see: *British Standard 5837:2012 – Trees in Relation to Design, Demolition and Construction – Recommendations*;  
*National Planning Policy Framework*

### 37. Ventilation and Extraction Report

#### Threshold/Trigger

Ventilation or extraction is used to make sure that no nuisance, disturbance or loss of amenity is caused by odour, fumes, food droplets or noise to nearby properties. It is required in the following circumstances:

- Any developments which include the installation of ventilation systems or air-conditioning units. This includes premises in Use Class A3/A4/A5. Use Classes A3/A4/A5 covers that comprise the sale of food and drink for consumption on the premises or of hot food for consumption off the premises. It covers most restaurants, cafes, pubs and premises providing hot food take-away. It may also includes other businesses where the installation of externally mounted air-conditioning units is proposed.
- Should details of the equipment not be known at the time of submission of the application, a condition is likely to be imposed to any grant of permission requiring information detailed below (as appropriate) to be submitted.

#### Details of what should be included

A suitably qualified and experienced person with specialist knowledge of ventilation schemes should undertake the design and installation of a ventilation system. In circumstances where the end user of the premises is unknown, or where the specific type of food to be cooked is unknown, the installation should be designed to achieve the highest level of odour control in order to cater for a worst case scenario.

#### 1. Information on premises

The following information should be supplied:

- the number of meals to be served per day;
- the method(s) of preparation and cooking;
- the types of meal served, e.g. fish and chips, Chinese food, Indian food, pizzas or Italian dishes, etc.; and
- proposed hours of operation of the business and any ventilation plant.

## 2. Plans and drawings

Provide a scaled plan showing the internal arrangement of the premises and the dimensions/location of the ventilation system. The plan must contain external elevations of the buildings showing:

- dimensions;
- route; and
- exhaust characteristics (i.e. appearance) of the ductwork in relation to the building.

The location of all filters and the fan must be clearly marked. Where the location of a filter is shown the type must be clearly identified and cross-referenced to the detailed product specification.

## 3. Pre-filters

A copy of the manufacturer's product data sheet should be supplied clearly showing:

- manufacturer's name;
- filter name and product code;
- dimensions of the pre-filter; and
- nature of the filter media.
- manufacturer's recommendations on the frequency and type of maintenance of the pre-filter having regard to the conditions that it will be used under.

## 4. Electrostatic precipitators (where proposed)

A copy of the manufacturer's product data sheet should be supplied clearly showing:

- manufacturer's name;
- ESP name and product code;
- dimensions of the ESP; and
- flow rate rating.

Manufacturer's recommendation on the frequency and type of maintenance of the ESP having regard to the conditions that it will be used under.

## 5. Carbon Filters (where proposed)

The details and type of carbon filter units should be identified. A copy of the manufacturer's product data sheet should be supplied that clearly shows:

- manufacturer's name;
- filter name and product code;
- dimensions of the filter panel; and
- the total number of filter panels in the filter bed.

The following information should also be included:

- the nature of the carbon (including product type);
- the frequency of replacement of the carbon units having regard to the conditions that it will be used under. The assumptions to this calculation must be clearly stated, including the frequency and duration of use. The manufacturer should provide recommendations on the frequency and type of maintenance required;
- total volume of carbon expressed in cubic metres;
- total mass of carbon expressed in kilograms;
- total surface area of the panels exposed to the exhausted air; and
- dwell time of the gases in the filter compartment and the control setting at which this is achieved. The assumptions to this calculation must be clearly stated, and should include the air change rate for the setting quoted.



## 6. Odour counteractant or neutralising system (where proposed)

The details and type of counteractant or neutralising system should be identified. A copy of the manufacturer's product data sheet should be supplied that clearly shows:

- manufacturer's name;
- name of delivery system and product code;
- counteractant or neutralising chemical to be used;
- COSHH data sheets for chemical to be used; and
- anticipated counteractant or neutralising delivery rate.

## 7. Cooker hood

The following information on the characteristics of the cooker hood should be supplied that clearly shows the:

- length that the cooker hood overhangs the appliances;
- face velocity at the cooker hood, expressed in metres per second; and
- dimensions of the opening of the cooker hood.

## 8. System Operation

In addition to the specification of the components the following must be provided about the system:

- extract rate (expressed as m<sup>3</sup>/s) at the proposed rate of extract;
- dwell time of the gases in the carbon filtration zone;
- volume of the kitchen; and
- efflux velocity

Note: The system performance is dependent upon the extract rate of the air. Where the rate can be adjusted by the use of dampers or a variable speed fan, then the conditions under which the extract rate can be achieved must be described.

## 9. Flue Design

The height and velocity of the final discharge are the two important factors. Generally, the greater the flue height, the better the dispersion and dilution of odours. The discharge of air should be at a minimum height of 1m above the roof ridge, especially if there are buildings nearby that may affect odour dispersion and dilution.

Where this is not possible (e.g. because of ownership or structural constraints), additional techniques will be required in order to reduce odours, such as an increase in efflux velocity and additional filters, etc.

The final discharge should be vertically upwards, unimpeded by flue terminals. The number of bends in the ducting should be minimised and the ducting should have a smooth internal surface.

## 10. Noise

Data on the noise produced by the system as a whole should be provided including:

- sound power levels or sound pressure levels at given distances (the assumptions to this calculation must be clearly stated);
- an octave band analysis of the noise produced by the system should also be provided, where possible; and
- hours of operation of the ventilation system (where this differs from the hours of opening).

## 11. Maintenance

A schedule of maintenance must be provided including details for:

- cleaning of washable grease filters;
- frequency of inspection and replacement of all filters (grease filters, pre-filters and carbon filters where proposed);
- inspection and servicing of fans; and
- if schedule is not based on manufacturer's instructions include the reasons why.

## 12. Additional notes for guidance

The air inlets must not permit pests to enter the kitchen. Fly screens are an example of how this can be achieved.

Sufficient air must be permitted into the premises to replace air extracted. The method for supplying this make-up air should be detailed.

The route of the air into the kitchen must not result in its contamination, for example passage through a toilet. Separate provision must be made for ventilation of a toilet.

There must be sufficient access points to permit adequate cleaning of all the ductwork.

#### **Other information**

For further information please contact the Council's Environmental Health team on 01636 650000 or email [customerservices@newark-sherwooddc.gov.uk](mailto:customerservices@newark-sherwooddc.gov.uk)

### **38. Viability and Marketing Statements**

#### **Threshold/Trigger**

Where applicants do not consider that development schemes would be viable in relation to the policy requirements and/or planning obligations made of them, a viability assessment justifying the reasons for this must accompany the planning application.

Where applicants consider that a building cannot retain its employment or community use and an alternative use is the only viable option.

Where the application proposes the removal of a rural worker occupancy condition.

#### **Details of what should be included**

All viability assessments should reflect the recommended approach in national planning guidance, including standardised inputs.

Where the developer considers that it is not financially viable to enter into an agreement, or that they can make reduced payments, then a clear and robust financial viability assessment must be submitted. This should include the following information:

- Schedule of both gross and net internal floor areas;
- Land purchase price (with proof), and the estimated market value of the site;
- Date of land purchase;
- Schedule of development costs (normals);
- Schedule of development costs (abnormals);
- Proof of development costs (abnormals);
- Reasons why full costs (including abnormals) were not reflected in the purchase price;
- Expected sale price of dwellings/buildings (including at what date/s); and
- Intended profit level/s (including profit type).

In relation to affordable housing the assessment should include all the above and details of the amount of affordable housing (%) that could be provided against a diminishing scale of profit levels, to the level of full affordable housing provision.

Known costs such as site clearance, preparation, retaining walls, piling, infrastructure provision and or diversion, highways works, servicing, flood mitigation measures, archaeology, decontamination/remediation will not be considered as abnormals. Where abnormal costs can clearly be demonstrated, a reduction in the contribution may be agreed on a site by site basis.

For proposals involving the loss of employment or community use and for the removal of a rural worker occupancy condition;-

Applications should be accompanied by appropriate marketing of the building/site. This should include:

- a. copy of the sales particulars prepared;
- b. details of the original price and the new guide price;
- c. schedule of the advertising carried out with copies of the advertisements and details of where the advertisements were placed and when, along with an estimate of the expenditure incurred from advertising;
- d. the confirmed number of sales particulars which have been distributed, along with a breakdown of where the enquiries resulted from, for example, from the "for sale" or "to let" board, advertisements etc.;
- e. details of the number of viewings carried out;
- f. resulting offers and why they were dismissed;
- g. confirmation of whether a "for sale" or "to let" board was erected and the dates displayed, or if not, the reasons behind the decision; and
- h. timetable of events from the initial appointment of the agent to current date.

**Please note there is a separate fee, payable in addition to the standard planning fee, for applications requiring a viability assessment. The fee is to cover the Council's costs in appointing an independent professional to evaluate the assessment submitted.**

#### **Other Information**

Any viability assessment should be prepared on the basis that it will be made publicly available in accordance with national guidance. Information used in viability assessment is not usually specific to that developer and thereby need not contain commercially sensitive data.

Should an exemption from publication be sought, the Local Planning Authority must be satisfied that the information to be excluded is commercially sensitive. This might include information relating to negotiations, such as ongoing negotiations over land purchase, and information relating to compensation that may be due to individuals, such as right to light compensation. The aggregated information should be clearly set out to the satisfaction of the decision maker. Any sensitive personal information should not be made public.

An executive summary prepared in accordance with data standards published by government and in line with the template will present the data and findings of a viability assessment more clearly so that the process and findings are accessible to affected communities. As a minimum, the government recommends that the executive summary sets out the gross development value, benchmark land value including landowner premium, costs, as set out in this guidance where applicable, and return to developer. Where a viability assessment is submitted to accompany a planning application, the executive summary should refer back to the viability assessment that informed the plan and summarise what has changed since then. It should also set out the proposed developer contributions and how this compares with policy requirements. Further information is available on their website at [www.gov.uk/guidance/viability](http://www.gov.uk/guidance/viability)

Further information is also available at:

[www.gov.uk/government/publications/department-for-communities-and-local-government-appraisal-guide](http://www.gov.uk/government/publications/department-for-communities-and-local-government-appraisal-guide) and [www.rics.org](http://www.rics.org)

## Part 4 - Application Types

The following list provides a list of the most common application types and information required to be submitted. The links will provide access to the relevant descriptions and information within this checklist.

### Advert Consent

For proposals to display an advertisement or sign which requires advert consent the following information will be required.

#### Always required

- Application form
- Fee
- A scaled plan identifying the location of the site [by reference to at least two named roads](#), and proposed position of advertisement

#### Sometimes required\*

- Existing and proposed elevations [to a scale of 1:50 or 1:100](#);
- Photo montages -to show the sign in its proposed location
- Scaled details of the sign [at 1:50 or 1:100 showing the advertisement size, siting, materials and colours to be used. This includes the font size, colour and appearance](#);
- [height above ground of the advert](#);
- [extent of projection by scaled section](#) and details of method and colours of illumination (if applicable);
- [Site block plan - if the sign is not located on an existing building](#)
- [where plans are submitted, these shall include individual drawing numbers with revision numbers where applicable; and](#)
- [include a scale bar](#)

\*Please note that the information listed above is often required in order to assess the impact upon highway safety and public amenity. Whilst not required in order to submit a valid application, this information will often be required in order to assess the proposal. It is advised this is submitted as part of the initial application to minimise the risk of the application being refused due to insufficient information being provided.

[The Planning Portal provides a useful Guidance Note 12](#)

## Householder

The list below will be sufficient to ensure that any planning application is validated and thus can progress through the planning application process. The list does not cover every eventuality given that, from time to time, issues can arise which require further information to be submitted. The Case Officer will contact you should this be the case.

### Always required:

- Application Form
- Fee
- Ownership Certificate
- Agricultural Holdings Certificate
- Site Location Plan
- Block Plan
- Existing Floor Plans – where an extension to a building is proposed or a building/extension is being demolished or alterations to be undertaken that affect the floor area
- Proposed Floor Plans – where new floor area is proposed
- Existing Elevation Plans – where an extension to a building is proposed
- Proposed Elevation Plans – where a new building is proposed, or fence/wall etc.

### Sometimes required:

- Agricultural Justification - an application for an extension to an existing rural worker's dwelling.
- Archaeological Assessment - an application within Newark's Historic Core or in close proximity to a Scheduled Ancient Monument, the Battlefield at East Stoke, a church yard.
- Community Infrastructure Levy (CIL) forms – an application where the gross internal area of the new-build exceeds 100 square metres.
- Design and Access Statement – where the property is within the Conservation Area and floor area proposed is 100m<sup>2</sup> or more.
- Ecological and Protected Species Assessment - Where protected species are present on or near the site. This can include:-
  - Applications relating to barns and other buildings capable of supporting protected species
  - Where development is within or adjacent to a wildlife corridor or area of natural open space
  - Where development is within a Special Protection Area (SPA), potential Special Protection Areas (pSPA), Site of Special Scientific Interest, Site of Important Nature Conservation (SINC), Local Wildlife Site (LWS)
  - Works involving trees known to house protected species
- Flood Risk Assessment - applications where the site is located in flood zones 2 or 3 and the footprint is more than 250 square metres.
- Flood Risk Standing Advice - applications where the site is located in flood zones 2 or 3 and the footprint is less than 250 square metres.
- Green Belt Impact Assessment - all householder planning applications in the Green Belt
- Heritage Impact Assessment - applications that:
  - Involves a listed building
  - Is within the setting of a listed building
  - Is within a conservation area
  - Is within the setting of a conservation area
  - Involves a scheduled ancient monument
  - Is within the setting of a scheduled ancient monument
  - Local interest building
- Highway Information - applications that involves a new driveway (where planning permission is required) or new boundary treatment close to an existing highway [or a public right of way is within or alongside the site](#)
- Tree Survey - applications where trees may be affected

[The Planning Portal provides a useful Guidance Note 01](#)

## Full Planning Permission

The list below will be sufficient to ensure that any planning application is validated and thus can progress through the planning application process. The list does not cover every eventuality given that, from time to time, issues can arise which require further information to be submitted. The Case Officer will contact you should this be the case.

### Always required:

- Application Form
- Fee
- Ownership Certificate
- Agricultural Holdings Certificate
- Site Location Plan
- Block Plan

### Sometimes required:

- Existing Floor Plans – where an extension to a building is proposed or a building/extension is being demolished
- Proposed Floor Plans – where new floor area is proposed
- Existing Elevation Plans – where an extension to a building is proposed
- Proposed Elevation Plans – where a new building is proposed, or fence/wall etc.
- Existing Roof Plans – where an extension to an existing building is proposed
- Proposed Roof Plans – where a new building or extension is proposed
- Affordable Housing Statement
- Agricultural Justification - an application for a new agricultural rural worker's dwelling.
- Air Quality Assessment
- Archaeological Assessment - an application within Newark's Historic Core or in close proximity to a Scheduled Ancient Monument, the Battlefield at East Stoke, a church yard.
- Bin /Waste Management Information
- Community Infrastructure Levy (CIL) forms – an application where the gross internal area of the new-build exceeds 100 square metres.
- Contamination Survey
- Daylight and Sunlight Assessment
- Design and Access Statement – where the property is within the Conservation Area and floor area proposed is 100m<sup>2</sup> or more.
- Drainage including Surface Water Drainage, Sustainable Drainage Scheme (SuDS) and Foul Drainage
- Ecological and Protected Species Assessment - Where protected species are present on or near the site.

This can include:-

- Applications relating to barns and other buildings capable of supporting protected species
- Where development is within or adjacent to a wildlife corridor or area of natural open space
- Where development is within a Special Protection Area (SPA), potential Special Protection Areas (pSPA), Site of Special Scientific Interest, Site of Important Nature Conservation (SINC), Local Wildlife Site (LWS)
- Works involving trees known to house protected species
- Economic Statement
- Environment Impact Assessment (EIA) / Environmental Statement
- External Lighting Details
- Flood Risk Assessment - applications where the site is located in flood zones 2 or 3 and the footprint is more than 250 square metres.
- Flood Risk Standing Advice - applications where the site is located in flood zones 2 or 3 and the footprint is less than 250 square metres.
- Green Belt Impact Assessment - all householder planning applications in the Green Belt
- Heritage Impact Assessment - applications that:

- Involves a listed building
- Is within the setting of a listed building
- Is within a conservation area
- Is within the setting of a conservation area
- Involves a scheduled ancient monument
- Is within the setting of a scheduled ancient monument
- [Is a local interest building](#)
- Highway Information - applications that involves a new driveway (where planning permission is required) or new boundary treatment close to an existing highway [or a public right of way is within or alongside the site](#)
- Landscape and Visual Impact Assessment
- Landscaping Scheme – applications which are proposing new landscaping or removal of existing
- Noise Impact Assessment
- Open Space Assessment
- Parking Plan – for all development which result in a change in parking requirements or loss of parking provision
- Planning Obligations Pro Forma Statement /Draft Head(s) of Terms
- Planning Statement and Additional Supporting Information
- [Rights of Way](#)
- Schedule of Works
- Statement of Community Involvement
- Street Scene Plans
- Structural Survey
- Telecoms Supporting information
- Town Centre Uses Assessment
- Transport Statements / Assessments
- Travel Plan
- Tree Survey - applications where trees may be affected
- Ventilation and Extraction Report
- Viability and Marketing Statement

[The Planning Portal provides a useful Guidance Note 04](#)

### **Hedgerow Removal Notice**

Always required

- Site location plan identifying the hedgerow(s) to be removed
- Application form (preferably) or a written notification in the form as set out in Schedule 4 to the Hedgerow Regulations
- Statement of reasons for the works, confirming whether the applicant is the owner, tenant or manager of the hedgerow, or the relevant utility company eligible to remove it
- Evidence that the hedge is less than 30 years old [or is not of archaeological or ecological importance.](#)

[https://ecab.planningportal.co.uk/uploads/1app/guidance/guidance\\_note-hedgerow\\_removal\\_notice.pdf](https://ecab.planningportal.co.uk/uploads/1app/guidance/guidance_note-hedgerow_removal_notice.pdf)

### **Listed Building Consent**

Always required:

- Application Form
- Ownership Certificate
- Heritage Impact Assessment
- Listed Building Design and Access Statement
- Location Plan
- Site Plan
- Plans, elevations and sections of the building, as existing [relevant to the proposed alteration](#)

- Plans, elevations and sections of the building to show the alterations proposed ([as relevant to the proposal](#))

Sometimes required:

- Schedule of Works
- Photographs of all elevations of the building (to convey its general character) and detailed photographs (internal or external) of those parts where alterations or extensions are proposed. If available, old photographs may be particularly valuable
- In the case of Grades I and II\* and, exceptionally, some Grade II listed buildings, specific internal details at a scale of 1:10, 1:5 or 1:1 may be requested.

The following additional information will be needed where the structural condition of the building requires it or where the building is to be converted to a new use:

- A building surveyor's or structural engineer's report and methodology statement, indicating on measured drawings of the building, as existing, any structural problems and a clear methodology for their rectification or alteration, including a proposed sequence of works and details of temporary works and propping.
- Joinery details - details of, for example, doors and windows should be shown to a larger scale of 1:10, 1:5, or 1:1 as appropriate.

[The Planning Portal provides a useful Guidance Note 11](#)

### **Non-material Amendment**

Always required:

- Application form
- Fee

Sometimes required (Information required will be dependent upon the non-material amendment being sought):

- Existing and proposed elevations
- Existing and proposed floor plans
- Site block plan

[The Planning Portal provides a useful Guidance Note 34](#)

### **Outline with all Matters Reserved**

Always required:

- Application Form
- Fee
- Site Location Plan
- Block Plan

Sometimes required:

- Proposed Floor Plans
- Proposed Elevation Plans
- Archaeological Assessment - an application within Newark's Historic Core or in close proximity to a Scheduled Ancient Monument, the Battlefield at East Stoke, a church yard.
- Community Infrastructure Levy (CIL) forms – an application where the gross internal area of the new-build exceeds 100 square metres.
- Contamination assessment
- Drainage including Surface Water Drainage, Sustainable Drainage Scheme (SuDS) and Foul Drainage
- Ecological and Protected Species Assessment - Where protected species are present on or near the site.

This can include:-

- Applications relating to barns and other buildings capable of supporting protected species
- Where development is within or adjacent to a wildlife corridor or area of natural open space



- Where development is within a Special Protection Area (SPA), potential Special Protection Areas (pSPA), Site of Special Scientific Interest, Site of Important Nature Conservation (SINC), Local Wildlife Site (LWS)
- Works involving trees known to house protected species
- Flood Risk Assessment - applications where the site is located in flood zones 2 or 3 and the footprint is more than 250 square metres.
- Heritage Impact Assessment - applications that:
  - Involves a listed building
  - Is within the setting of a listed building
  - Is within a conservation area
  - Is within the setting of a conservation area
  - Involves a scheduled ancient monument
  - Is within the setting of a scheduled ancient monument
  - [Is a local interest building](#)
- Highway Information - applications that involves a new driveway (where planning permission is required) or new boundary treatment close to an existing highway [or a public right of way is within or alongside the site](#)
- Landscaping details
- Tree Survey - applications where trees may be affected

Detail will be required of

- the use or uses proposed for the development and any distinct development zones within the site identified
- amount of development - the amount proposed for each use
- indicative access points - an area or areas in which the access point or points will be situated (where these matters are reserved for subsequent approval)

[The Planning Portal provides a useful Guidance Note 34](#)

### **Outline with some Matters Reserved**

Always required:

- Application Form
- Fee
- Site Location Plan
- Block Plan

Sometimes required (dependent on matters that have not been reserved):

- Proposed Floor Plans
- Proposed Elevation Plans
- Affordable Housing
- Archaeological Assessment - an application within Newark's Historic Core or in close proximity to a Scheduled Ancient Monument, the Battlefield at East Stoke, a church yard.
- Community Infrastructure Levy (CIL) forms – an application where the gross internal area of the new-build exceeds 100 square metres.
- Contamination assessment
- Drainage including Surface Water Drainage, Sustainable Drainage Scheme (SuDS) and Foul Drainage
- Ecological and Protected Species Assessment - Where protected species are present on or near the site.

This can include:-

- Applications relating to barns and other buildings capable of supporting protected species
- Where development is within or adjacent to a wildlife corridor or area of natural open space
- Where development is within a Special Protection Area (SPA), potential Special Protection Areas (pSPA), Site of Special Scientific Interest, Site of Important Nature Conservation (SINC), Local Wildlife Site (LWS)
- Works involving trees known to house protected species

- Flood Risk Assessment - applications where the site is located in flood zones 2 or 3 and the footprint is more than 250 square metres.
- Heritage Impact Assessment - applications that:
  - Involves a listed building
  - Is within the setting of a listed building
  - Is within a conservation area
  - Is within the setting of a conservation area
  - Involves a scheduled ancient monument
  - Is within the setting of a scheduled ancient monument
  - [Is a local interest building](#)
- Highway Information - applications that involves a new driveway (where planning permission is required) or new boundary treatment close to an existing highway [or a public right of way is within or alongside the site](#)
- Tree Survey - applications where trees may be affected

Detail will be required of:

- the use or uses proposed for the development and any distinct development zones within the site identified
- amount of development - the amount proposed for each use
- indicative access points - an area or areas in which the access point or points will be situated (where these matters are reserved for subsequent approval)

[The Planning Portal provides a useful Guidance Note 05](#)

### **Planning Permission for Relevant Demolition in Conservation Area**

Always required

- Application Form
- Site Location Plan
- Block Plan
- Heritage Impact Assessment
- Existing Floor Plans
- Existing Elevations Plans

Sometimes required

- Photographs - this needs a bullet point but I can't add one

[The Planning Portal provides a useful Guidance Note](#)

### **Reserved Matters**

Always required:

- Application Form
- Fee
- Site Location Plan
- Block Plan

Sometimes required depending on the Reserved Matters being sought:

- Proposed Floor Plans
- Proposed Elevation Plans
- Archaeological Assessment - an application within Newark's Historic Core or in close proximity to a Scheduled Ancient Monument, the Battlefield at East Stoke, a church yard.
- Community Infrastructure Levy (CIL) forms – an application where the gross internal area of the new-build exceeds 100 square metres.
- Contamination assessment
- Drainage including Surface Water Drainage, Sustainable Drainage Scheme (SuDS) and Foul Drainage
- Ecological and Protected Species Assessment - Where protected species are present on or near the site. This can include:-

- Applications relating to barns and other buildings capable of supporting protected species
- Where development is within or adjacent to a wildlife corridor or area of natural open space
- Where development is within a Special Protection Area (SPA), potential Special Protection Areas (pSPA), Site of Special Scientific Interest, Site of Important Nature Conservation (SINC), Local Wildlife Site (LWS)
- Works involving trees known to house protected species
- Flood Risk Assessment - applications where the site is located in flood zones 2 or 3 and the footprint is more than 250 square metres.
- Heritage Impact Assessment - applications that:
  - Involves a listed building
  - Is within the setting of a listed building
  - Is within a conservation area
  - Is within the setting of a conservation area
  - Involves a scheduled ancient monument
  - Is within the setting of a scheduled ancient monument
  - [Is a local interest building](#)
- Highway Information - applications that involves a new driveway (where planning permission is required) or new boundary treatment close to an existing highway [or a public right of way is within or alongside the site](#)
- Landscaping details
- Tree Survey - applications where trees may be affected

Detail will be required of:

- the use or uses proposed for the development and any distinct development zones within the site identified
- amount of development - the amount proposed for each use
- indicative access points - an area or areas in which the access point or points will be situated (where these matters are reserved for subsequent approval)

[The Planning Portal provides a useful Guidance Note 23](#)

### **Approval of Details Reserved by Condition (Discharge of a Condition(s) on a Planning Permission)**

Always required:

- Application Form
- Fee
- Details required by the condition

[The Planning Portal provides a useful Guidance Note 27](#)

### **Removal or Variation of Conditions**

Always required:

- Application Form
- Fee
- Details required by the condition

[The Planning Portal provides a useful Guidance Note 25](#)

### **Lawful Development Certificate for Existing Use or Development**

Always required:

- Application Form
- Fee
- Location Plan
- Supporting evidence e.g. affidavits, historic photos, utility bills/official documents.

[The Planning Portal provides a useful Guidance Note 14](#)

## Lawful Development for Proposed Use or Development

Always required:

- Application Form
- Fee
- Location Plan
- Site block plan
- Existing and proposed elevations
- Existing and proposed floor plans

[The Planning Portal provides a useful Guidance Note 15](#)

### **Prior Approval / Notification**

The following procedures apply to the applications set out below for prior approval or change of use:

|   |  |
|---|--|
| Agricultural buildings to dwellinghouses                                      | Enlargement, improvement or other alteration of a dwellinghouse                      |
| Agricultural buildings to state-funded school or registered nursery           | Installation or alteration etc of stand-alone wind turbine on domestic premises      |
| Agricultural buildings to a flexible commercial use                           | Offices to dwellinghouses  |
| Agricultural development on units of 5 hectares or more and forestry          | Premises in light industrial use to dwellinghouse                                    |
| Business, hotels etc. to state-funded schools or registered nursery           | Provision of a temporary state-funded school on previously vacant commercial land    |
| Click and collect facilities  | Retail or betting office or pay day loan shop to assembly and leisure                |
| Communications  | Retail, betting office or pay day loan shop or casino to restaurant or café          |
| Demolition of building(s)   | Retail, takeaway, betting office, pay day loan shop, and launderette uses to offices |
| Demolition of buildings and construction of new dwellinghouses in their place | Retail, takeaways and specified sui generis uses to dwellinghouses                   |
| Dwellings on detached buildings in commercial/mixed use                       | Specified sui generis uses to dwellinghouses   |
| Dwellings on detached dwellings   | Storage or distribution centre to dwellinghouses use                                 |
| Dwellings on flats  | Temporary use of buildings or land for film making purposes                          |
| Dwellings on terraced buildings in commercial/mixed use                       | Toll collection  |
| Dwellings on terraced dwelling  |  |

### **Agricultural buildings to dwellinghouses**

The application must be accompanied by:

- a written description of the proposed development or application form, which must include any building or other operations proposed
- plan indicating the site and showing the proposed development
- statement specifying the net increase in dwellinghouses proposed by the development

- a statement specifying (i) the number of smaller dwellings proposed; (ii) the number of larger dwellings proposed; (iii) whether previous development has taken place under Class Q within the established agricultural unit, and if so the number of smaller and larger dwellinghouses development under Class Q
- a floor plan indicating the dimensions and proposed use of each room, the position and dimensions of windows, doors and walls, and the elevations of the dwellinghouses
- a site specific flood risk assessment when the site is in Flood Zone 2 or 3
- the developer's contact address
- developer's email address if content to receive communication electronically
- any fee required to be paid

#### **Agricultural buildings to state-funded school or registered nursery**

#### **Agricultural buildings to a flexible commercial use**

The application must be accompanied by:

- a written description of the proposed development or application form, which must include any building or other operations proposed
- plan indicating the site and showing the proposed development
- a site specific flood risk assessment when the site is in Flood Zone 2 or 3
- the developer's contact details
- developer's email address if content to receive communication electronically
- any fee required to be paid

#### **Agricultural development on units of 5 hectares or more and forestry development**

The application must be accompanied by:

- a written description of the proposed development or application form
- plan indicating the site
- the materials to be used
- any fee required to be paid

[The Planning Portal provides a useful Guidance Note 16](#)

#### **Retail or betting office or pay day loan shop to assembly and leisure**

#### **Retail, takeaway, betting office, pay day loan shop, and launderette uses to offices**

#### **Business, hotels etc. to state-funded schools or registered nursery**

#### **Installation or alteration etc. of stand-alone wind turbine on domestic premises**

The application must be accompanied by:

- a written description of the proposed development or application form
- plan indicating the site and showing the proposed development
- the developer's contact address
- developer's email address if content to receive communication electronically
- any fee required to be paid

#### **Retail, betting office or pay day loan shop or casino to restaurant or café**

The application must be accompanied by:

- a written description of the proposed development or application form, which must include any building or other operations proposed
- plan indicating the site and showing the proposed development
- the developer's contact address
- developer's email address if content to receive communication electronically
- any fee required to be paid

#### **Click and collect facilities**

The application must be accompanied by:

- a written description of the proposed development or application form, which must include any building operations proposed

- plan indicating the site and showing the proposed development
- the developer's contact address
- developer's email address if content to receive communication electronically
- any fee required to be paid

### **Specified sui generis uses to dwellinghouses**

#### **Retail, takeaways and specified sui generis uses to dwellinghouses**

The application must be accompanied by:

- a written description of the proposed development or application form, which must include any building or other operations proposed
- plan indicating the site and showing the proposed development
- a site specific flood risk assessment when the site is in Flood Zone 2 or 3
- statement specifying the net increase in dwellinghouses proposed by the development
- a floor plan indicating the dimensions and proposed use of each room, the position and dimensions of windows, doors and walls, and the elevations of the dwellinghouses
- the developer's contact address
- developer's email address if content to receive communication electronically
- any fee required to be paid

### **Offices to dwellinghouses**

#### **Premises in light industrial use to dwellinghouses**

#### **Temporary use of buildings or land for film making purposes**

These application must be accompanied by:

- a written description of the proposed development or application form
- plan indicating the site and showing the proposed development
- a floor plan indicating the dimensions and proposed use of each room, the position and dimensions of windows, doors and walls, and the elevations of the dwellinghouses
- statement specifying the net increase in dwellinghouses proposed by the development
- a site specific flood risk assessment when the site is in Flood Zone 2 or 3
- the developer's contact details
- developer's email address if content to receive communication electronically
- any fee required to be paid

### **Storage or distribution centre to dwellinghouses**

#### **Provision of a temporary state-funded school on previously vacant commercial land**

The application must be accompanied by:

- a written description of the proposed development or application form
- plan indicating the site and showing the proposed development
- statement specifying the net increase in dwellinghouses proposed by the development
- a site specific flood risk assessment when the site is in Flood Zone 2 or 3
- the developer's contact details
- developer's email address if content to receive communication electronically
- any fee required to be paid

### **Communications**

The application must be accompanied by:

- a written description of the proposed development
- plan indicating the proposed location
- any fee required to be paid
- the developer's contact details
- developer's email address if content to receive communication electronically

- a notice of development<sup>2</sup>
- a notification of the proposal<sup>3</sup>
- where the development is within 3km of the perimeter of an aerodrome, evidence must be provided of notification with the Civil Aviation Authority, the Secretary of Defence or the aerodrome operator as appropriate

[The Planning Portal provides a useful Guidance Note 20](#)

**New dwellinghouses on detached blocks of flats**

**New dwellinghouses on detached buildings in commercial or mixed use**

**New dwellinghouses on terrace buildings in commercial or mixed use**

**New dwellinghouses on terrace buildings in use as dwellinghouses**

**New dwellinghouses on detached buildings in use as dwellinghouses**

These application must be accompanied by:

- a written description of the proposed development, which must include details of any dwellinghouse and other works proposed under these Classes comprising:
  - i. engineering operations reasonably necessary to construct the additional storeys and new dwellinghouses;
  - ii. works for the replacement of existing plant or installation of additional plant on the roof of the extended building reasonably necessary to service the new dwellinghouses;
  - iii. works for the construction of appropriate and safe access and egress to access to and egress from the new and existing dwellinghouses, including means of escape from fire, via additional external doors or external staircases;
  - iv. works for the construction of storage, waste or other ancillary facilities reasonably necessary to support the new dwellinghouses.
- a plan which is drawn to an identified scale and shows the direction of North indicating the site and showing the proposed development;
- floor plans which are drawn to an identified scale and show the direction of North indicating the dimensions and proposed use of each room, the position and dimensions of windows, doors and walls, and the existing and proposed elevations of the building;
- a written statement specifying the number of new dwellinghouses proposed by the development that is additional to the number of dwellinghouses in the building immediately prior to development (that is, additional to any dwellinghouses in the existing building);
- a list of all addresses of the flats within the existing block of flats any flats and any other premises in the existing building;
- the developer's contact address;
- the developer's email address if the developer is content to receive communications electronically
- a site specific flood risk assessment when the site is in Flood Zone 2 or 3
- a report from a chartered engineer or other competent professional confirming that the external wall construction of the existing building complies with paragraph B4(1) of Schedule 1 to the Building Regulations 2010
- any fee required to be paid.

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<sup>2</sup> The developer must give notice of the proposed development to any person (other than the developer) who is an owner of the land to which the development relates, or a tenant, before making the application.

<sup>3</sup> Where the proposed development consists of the installation of a mast within 3 kilometres of the perimeter of an aerodrome, the developer must notify the Civil Aviation Authority, the Secretary of State for Defence or the aerodrome operator, as appropriate, before making the application.

## Demolition of buildings and construction of new dwellinghouses in their place

The application must be accompanied by:

- the address or location of the development;
- a written description of the proposed development, which must include details of the building proposed for demolition, the building proposed as replacement and the operations proposed under paragraph ZA(3) of the Town and Country Planning (General Permitted Development)(England) Order 2015. These operations are provided below.
- a plan, drawn to an identified scale and showing the direction of North, indicating the site of the proposed development;
- drawings prepared to an identified scale and showing external dimensions and elevations of
  - the building proposed for demolition,
  - the building scheduled as replacement, and, in the direction of North, the positioning of each, together with
    - where the building proposed as replacement is a block of flats—
- the position and dimensions of windows, doors and walls in the block and in each dwellinghouse in it,
- the dimensions and use of all habitable and other rooms in each dwellinghouse in it;
- where the building proposed as replacement is a single dwellinghouse—
- the position and dimensions of the windows, doors and walls in it, and
- the dimensions and use of all habitable and other rooms in it.
- a written statement specifying
  - the number of dwellinghouses in the building proposed for demolition, and
  - the number of new dwellinghouses proposed in the building proposed as replacement,
- a site specific flood risk assessment when the site is in Flood Zone 2 or 3
- a written statement in respect of heritage and archaeological considerations of the development;
- a report from a chartered engineer or other competent professional confirming that the external wall construction of the existing building complies with paragraph B4(1) of Schedule 1 to the Building Regulations 2010;
- the developer's contact address
- the developer's email address if the developer is content to receive communications electronically;
- any fee required to be paid.

The operations in question are:

- a. operations reasonably necessary for the demolition and construction, which may include the installation of a basement or cellar in the new building, whether or not there is one in the old building;
- b. works for the removal of plant servicing the old building;
- c. works for the disconnection of services from the old building;
- d. works for the removal of any means of access to and egress from the old building;
- e. works for the removal of storage and waste from the old building;
- f. works for the installation of plant to service the new building;
- g. works for the installation of services to be connected to the new building;
- h. works to enable access to and egress from the new building, including means of escape from fire;
- i. works for the construction, within the new building, of storage, waste or other ancillary facilities to support the new building;
- j. the use of scaffolding and other temporary structures to support the operations listed in paragraphs (a) to (i) over a period:
  - i. starting with their installation no earlier than one month before the beginning of those operations, and
  - ii. ending with their removal no later than one month after the completion of those operations.



## **Enlargement, improvement or other alteration of a dwellinghouse**

The application must be accompanied by:

- a written description of the proposed development or application form including-
  - how far the enlarged part of the dwellinghouse extends beyond the rear wall of the original dwellinghouse
  - the maximum height of the enlarged part of the dwellinghouse; and
  - the height of the eaves of the enlarged part of the dwellinghouse
- location plan with showing proposed site
- plans showing the proposed development
- the addresses of any adjoining premises
- the developer's contact details
- appropriate fee

[The Planning Portal provides a useful Guidance Note](#)

## **Demolition of building(s)**

The application must be accompanied by:

- a written description of the proposed development or application form
- a statement that a notice has been posted<sup>4</sup>
- any fee required to be paid

[The Planning Portal provides a useful Guidance Note](#)

## **Toll road facilities**

The application must be accompanied by:

- a. written description of the development
- b. plans and elevations of the proposed development
- c. any fee required to be paid

## **Permission in Principle**

These applications are an alternative way of obtaining planning permission for housing-led development. It separates the consideration of matters of principle for the proposed development from the technical detail of the development. Find out more by visiting [www.gov.uk/guidance/permission-in-principle](http://www.gov.uk/guidance/permission-in-principle).

Always required

- Application Form
- Fee
- Location Plan
- Site block plan

## **Technical Details Consent (in relation to Permission in Principle)**

Following the granting of Permission in Principle (PIP) an application for Technical Details Consent can be made, validation requirements are the same as for a planning application - so please refer to the relevant development type. Please note you must make reference to the PIP application number in your submission.

Find out more by visiting [www.gov.uk/guidance/permission-in-principle](http://www.gov.uk/guidance/permission-in-principle).

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<sup>4</sup> *The application requires the applicant or agent to erect a site notice for no less than 21 days in the period of 28 days beginning with the date on which the application was submitted to the Local Planning Authority. Following this, a statement will need to be submitted to the Local Planning Authority with a description of where the site notice was put and date it was displayed.*

## Works to Trees in Conservation Area

### Always required

- Application Form – whilst not legally required we recommend the form available on the Planning Portal. If you chose not to use the application form, the following minimum information will be required:
  - Your contact details
  - Owners details
  - Site address (location of tree/s)
  - Information on the works to proposed, including species (e.g.: felling of 2 Silver Birch trees (T1 and T2))
- Site Location Plan - does not have to be ordnance survey but must clearly show the location of the tree(s) relative to surrounding buildings/roads.

Note: It is vital that you clearly specify the works you want to carry out for each tree included in your application. A proposal simply to “cut back”, “lop” or “trim” some branches is too vague because it fails to indicate the extent of the works. Reductions should be specified by actual measurements, where possible, and reflect the finished result, but may also refer to lengths of parts to be removed to aid clarity, e.g. ‘crown reduce in height by 2.0m and lateral spread by 1.0m, all round, to finished crown dimensions of 18m in height by 11m in spread (all measurements approximate.)’. Alternatively you can annotate a drawing or photograph to show which branches are to be reduced/removed. Examples of common tree work operations, including crown reduction and crown lift can be found on the following website <https://www.trees.org.uk/Help-Advice/Public/A-brief-guide-to-tree-work-terminology-and-definit>

### Sometimes required:

- Photographs - provide both close up photographs of the tree itself and also showing the tree(s) within their wider surroundings;
- Be accompanied, as applicable, by appropriate evidence describing any structural damage to property or in relation to tree health or safety; and
- Replanting information, where applicable.

## Works to Trees Subject to a Tree Preservation Order (TPO)

### Always required

- Application Form;
- Site Location Plan - does not have to be ordnance survey but must clearly show the location of the tree/s;
- Tree assessment – species, spread, roots and position of trees; details of the works you propose; why the works are necessary and any proposed replacement trees. Details can also be found within BS 5837:2012; and
- Tree felling - Be accompanied, as applicable, by appropriate evidence describing any structural damage to property or in relation to tree health or safety (to be provided by a relevant engineer, building/drainage surveyor or other appropriate expert)

Note: It is vital that you clearly specify the works you want to carry out for each tree included in your application. A proposal simply to “cut back”, “lop” or “trim” some branches is too vague because it fails to indicate the extent of the works. Reductions should be specified by actual measurements, where possible, and reflect the finished result, but may also refer to lengths of parts to be removed to aid clarity, e.g. ‘crown reduce in height by 2.0m and lateral spread by 1.0m, all round, to finished crown dimensions of 18m in height by 11m in spread (all measurements approximate.)’. Alternatively you can annotate a drawing or photograph to show which branches are to be reduced/removed. Examples of common tree work operations, including crown reduction and crown lift can be found on the following website <https://www.trees.org.uk/Help-Advice/Public/A-brief-guide-to-tree-work-terminology-and-definit>

### Sometimes required:

- Photographs - provide both close up photographs of the tree itself and also showing the tree(s) within their wider surroundings;
- [Appropriate evidence describing any structural damage to property or in relation to tree health or safety](#)
- Replanting information, where applicable.

### **Urgent works to Protected Trees (presents an immediate risk of serious harm)**

Where a tree presents an immediate risk of serious harm (for example injury to a passing pedestrian due to the tree falling) and work is urgently needed to remove that risk, tree owners or their agents must give written notice to the local authority as soon as practicable after that work becomes necessary. **Work shall only be carried out to the extent that it is necessary to remove the risk.**

The applicant/owner is still required to notify the local authority of the works and must provide the following:

- Contact details of person reporting the risk
- Owner details
- Site address of location of tree/s
- Species of tree
- TPO reference
- Evidence of the immediate risk, including details of risk/damage/condition
- Supporting information/justification from a suitably qualified professional – photographs can be provided showing damage.

You may be required to plant a replacement tree to ensure the ongoing presence of trees at the site.

If the danger is not immediate the tree does not come within the meaning of the exception, 5 working days prior written notice must be given to the local authority before cutting down or carrying out other work on a dead tree. The authority's consent for such work is not required.

The exceptions also allow removal of dead branches from a living tree without prior notice or consent.

## Part 5 – Development Types

| Residential New Build  | Residential Conversions  |
|--|--|
| <p>Always required</p> <ul style="list-style-type: none"> <li>• Application form</li> <li>• Ownership certificate</li> <li>• Existing and proposed elevations</li> <li>• Existing and proposed floor plans</li> <li>• Fee</li> <li>• Location plan</li> <li>• Site block plan</li> <li>• Parking information</li> </ul> <p>Sometimes required</p> <ul style="list-style-type: none"> <li>• Affordable housing -all planning applications for residential development on sites of 11 dwellings or more or those with a combined floorspace of more than 1000sqm.</li> <li>• Agricultural justification</li> <li>• Archaeological Assessment</li> <li>• Bin/waste management information</li> <li>• Community Infrastructure Levy Form</li> <li>• Contamination risk assessment</li> <li>• Daylight and sunlight assessment</li> <li>• Design and access statement</li> <li>• Drainage information</li> <li>• Ecological and protected species assessment</li> <li>• Environmental impact assessment</li> <li>• External lighting details</li> <li>• Flood Risk Assessment</li> <li>• Green belt impact assessment</li> <li>• Heritage impact assessment</li> <li>• Highway information</li> <li>• Landscape and visual assessment</li> <li>• Landscape scheme</li> <li>• Marketing statement</li> <li>• Noise impact assessment</li> <li>• Open space provision</li> <li>• Planning obligations pro-forma statement</li> <li>• Planning statement</li> <li>• <a href="#">Rights of Way</a></li> <li>• Statement of community involvement</li> <li>• Transport statement/assessment</li> <li>• Travel plan</li> <li>• Tree survey</li> </ul> | <p>Always required</p> <ul style="list-style-type: none"> <li>• Application form</li> <li>• Ownership certificate</li> <li>• Existing and proposed elevations</li> <li>• Existing and proposed floor plans</li> <li>• Fee</li> <li>• Location plan</li> <li>• Site block plan</li> <li>• Parking information</li> </ul> <p>Sometimes required</p> <ul style="list-style-type: none"> <li>• Affordable housing -all planning applications for residential development on sites of 11 dwellings or more or those with a combined floorspace of more than 1000sqm.</li> <li>• Agricultural justification</li> <li>• Archaeological Assessment</li> <li>• Bin/waste management information</li> <li>• Community Infrastructure Levy Form</li> <li>• Contamination risk assessment</li> <li>• Design and access statement</li> <li>• Drainage information</li> <li>• Ecological and protected species assessment</li> <li>• Environmental impact assessment</li> <li>• External lighting details</li> <li>• Flood Risk Assessment</li> <li>• Heritage impact assessment</li> <li>• Highway information</li> <li>• Landscape scheme</li> <li>• Marketing statement</li> <li>• Noise impact assessment</li> <li>• Open space provision (see planning obligations pro forma statement)</li> <li>• Planning obligations pro-forma statement</li> <li>• Planning statement</li> <li>• <a href="#">Rights of Way</a></li> <li>• Statement of community involvement</li> <li>• Structural survey</li> <li>• Transport statement/assessment</li> <li>• Travel plan</li> <li>• Tree survey</li> <li>• Viability and marketing statement</li> </ul> |
| Commercial, industrial and non-residential   | Change of Use  |
| <p>Always required</p> <ul style="list-style-type: none"> <li>• Application form</li> <li>• Ownership certificate</li> <li>• Existing and proposed elevations</li> <li>• Existing and proposed floor plans</li> </ul>  | <p>Always required</p> <ul style="list-style-type: none"> <li>• Application form</li> <li>• Ownership certificate</li> <li>• Fee</li> <li>• Location plan</li> </ul>   |

|  |   |
|--|---|
| <ul style="list-style-type: none"> <li>• Fee</li> <li>• Location plan</li> <li>• Site block plan</li> <li>• Parking information</li> </ul> <p>Sometimes required</p> <ul style="list-style-type: none"> <li>• Archaeological Assessment</li> <li>• Bin/waste management information</li> <li>• Contamination risk assessment</li> <li>• Community Infrastructure Levy Form</li> <li>• Daylight and sunlight assessment</li> <li>• Design and access statement</li> <li>• Drainage information</li> <li>• Ecological and protected species assessment</li> <li>• Economic statement</li> <li>• External lighting details</li> <li>• Environmental impact assessment</li> <li>• Flood Risk Assessment</li> <li>• Green belt impact assessment</li> <li>• Heritage impact assessment</li> <li>• Landscape and visual assessment</li> <li>• Landscape scheme</li> <li>• Marketing statement</li> <li>• Noise impact assessment</li> <li>• Parking information</li> <li>• Planning statement</li> <li>• Retail assessment</li> <li>• <a href="#">Rights of Way</a></li> <li>• Statement of community involvement</li> <li>• Structural survey</li> <li>• Tourism need statement</li> <li>• Town centre uses assessment</li> <li>• Transport assessment and travel plan</li> <li>• Travel plan</li> <li>• Tree survey</li> <li>• Ventilation and extraction report</li> <li>• Viability and marketing statement</li> </ul> | <p>Sometimes required</p> <ul style="list-style-type: none"> <li>• Bin/waste management information</li> <li>• Community Infrastructure Levy Form</li> <li>• Contamination risk assessment</li> <li>• Design and access statement</li> <li>• Drainage statement</li> <li>• Ecological and protected species assessment</li> <li>• Economic Statement</li> <li>• Existing and proposed elevations</li> <li>• Existing and proposed floor plans</li> <li>• External lighting details</li> <li>• Flood Risk Assessment</li> <li>• Heritage impact assessment</li> <li>• Landscape scheme</li> <li>• Noise impact assessment</li> <li>• Open space provision</li> <li>• Planning statement</li> <li>• <a href="#">Rights of Way</a></li> <li>• Statement of community involvement</li> <li>• Structural survey</li> <li>• Tourism need statement</li> <li>• Town centre uses assessment</li> <li>• Transport assessment and travel plan</li> <li>• Travel plan</li> <li>• Ventilation and extraction report</li> <li>• Viability and marketing statement</li> </ul> |
| <p><b>Agricultural Development (e.g. new buildings, engineering works etc.)</b></p>  | <p><b>Telecoms (requiring planning permission)</b></p>  |
| <p>Always required</p> <ul style="list-style-type: none"> <li>• Application form</li> <li>• Ownership certificate</li> <li>• Existing and proposed elevations</li> <li>• Existing and proposed floor plans</li> <li>• Fee</li> <li>• Location plan</li> <li>• Site block plan</li> </ul> <p>Sometimes required</p> <ul style="list-style-type: none"> <li>• Archaeological Assessment</li> <li>• Design and access statement</li> <li>• Drainage information</li> </ul>  | <p>Always required</p> <ul style="list-style-type: none"> <li>• Application form</li> <li>• Ownership certificate</li> <li>• Existing and proposed elevations</li> <li>• Existing and proposed floor plans</li> <li>• Fee</li> <li>• Location plan</li> <li>• Site block plan</li> <li>• Telecommunications supporting information</li> </ul> <p>Sometimes required</p> <ul style="list-style-type: none"> <li>• Design and access statement</li> <li>• Drainage information</li> </ul>   |

- Flood Risk Assessment
- Landscape scheme
- Noise impact assessment
- Non-mains drainage (Foul drainage assessment form)
- Parking information
- Planning statement
- Statement of community involvement
- Tree survey

- Flood Risk Assessment
- Planning statement
- Statement of community involvement
- Tree survey

## **Appendix 1 Flood Risk Advice**

### Essential infrastructure

- Essential transport infrastructure (including mass evacuation routes) which has to cross the area at risk.
- Essential utility infrastructure which has to be located in a flood risk area for operational reasons, including electricity generating power stations and grid and primary substations; and water treatment works that need to remain operational in times of flood.
- Wind turbines.

### Highly vulnerable

- Police and ambulance stations; fire stations and command centres; telecommunications installations required to be operational during flooding.
- Emergency dispersal points.
- Basement dwellings.
- Caravans, mobile homes and park homes intended for permanent residential use.
- Installations requiring hazardous substances consent. (Where there is a demonstrable need to locate such installations for bulk storage of materials with port or other similar facilities, or such installations with energy infrastructure or carbon capture and storage installations, that require coastal or water-side locations, or need to be located in other high flood risk areas, in these instances the facilities should be classified as 'Essential Infrastructure').

### More vulnerable

- Hospitals.
- Residential institutions such as residential care homes, children's homes, social services homes, prisons and hostels.
- Buildings used for dwelling houses, student halls of residence, drinking establishments, nightclubs and hotels.
- Non-residential uses for health services, nurseries and educational establishments.
- Landfill\* and sites used for waste management facilities for hazardous waste.
- Sites used for holiday or short-let caravans and camping, subject to a specific warning and evacuation plan.

### Less vulnerable

- Police, ambulance and fire stations which are not required to be operational during flooding.
- Buildings used for shops; financial, professional and other services; restaurants, cafes and hot food takeaways; offices; general industry, storage and distribution; non-residential institutions not included in the 'more vulnerable' class; and assembly and leisure.
- Land and buildings used for agriculture and forestry.
- Waste treatment (except landfill\* and hazardous waste facilities).
- Minerals working and processing (except for sand and gravel working).
- Water treatment works which do not need to remain operational during times of flood.
- Sewage treatment works, if adequate measures to control pollution and manage sewage during flooding events are in place.

### Water-compatible development

- Flood control infrastructure.
- Water transmission infrastructure and pumping stations.
- Sewage transmission infrastructure and pumping stations.
- Sand and gravel working.
- Docks, marinas and wharves.
- Navigation facilities.
- Ministry of Defence defence installations.
- Ship building, repairing and dismantling, dockside fish processing and refrigeration and compatible activities requiring a waterside location.

- Water-based recreation (excluding sleeping accommodation).
- Lifeguard and coastguard stations.
- Amenity open space, nature conservation and biodiversity, outdoor sports and recreation and essential facilities such as changing rooms.
- Essential ancillary sleeping or residential accommodation for staff required by uses in this category, subject to a specific warning and evacuation plan.

### **Flood Risk Assessment Information**

Further information regarding flood risk assessments for planning applications is available on the [Environment Agency's website](#). The website also provides details as to how to find out which flood zone a location is in, as part of land-use planning.

### **Flood risk assessment in flood zone 1 and critical drainage areas.**

#### Plans

You need to provide a location plan showing:

- street names;
- any rivers, streams, ponds, wetlands or other bodies of water;
- other geographical features, e.g. railway lines or local landmarks such as schools or churches

You also need to provide a site plan showing:

- the existing site
- your development proposal
- any structures that could affect water flow, e.g. bridges, embankments

#### Surveys

You need to provide a survey showing:

- existing site levels.
- the levels of your proposed development.
- your site in relation to its surroundings.

You may be able to find Ordnance Datum information from the Ordnance Survey. If not, you'll need to pay for a land survey carried out by a qualified surveyor.

#### Assessments

Assess what the risk would be to your development if there was a flood. Consider flooding from other sources (e.g. surface water drains, a canal) as well as from rivers and the sea. You should also consider climate change in your assessment.

#### Surface Water Drainage

You also need to assess surface water runoff on the site and provide:

- an estimate of how much surface water runoff your development will generate.
- details of existing methods for managing surface water runoff, e.g. drainage to a sewer.
- your plans for managing surface water and for making sure there's no increase in the volume of surface water and rate of surface water runoff.

Surface water runoff describes flooding from sewers, drains, groundwater, and runoff from land, small water courses and ditches that occurs as a result of heavy rainfall.

#### Developments on or near main rivers

State in your assessment if you need Environment Agency flood defence consent and if you've applied for it if so.



### **Flood risk assessment in flood zones 2 and 3:**

Before you start a flood risk assessment, check if you need to carry out a sequential test. A sequential test compares your proposed site with other available sites to show which one has the lowest flood risk.

You need to carry out a sequential test if one hasn't already been done for the type of development you plan for your proposed site.

If the sequential test shows there aren't suitable alternative sites, you may need to carry out an exception test. The exception test shows how you'll manage flood risk on and off the site.

You'll need to carry out an exception test if your development is:

- highly vulnerable and in flood zone 2
- essential infrastructure in flood zone 3a or 3b
- more vulnerable in flood zone 3a

In your exception test, you need to show that the sustainability benefits of the development to the community outweigh the flood risk.

You also need to show that the development will be safe for its lifetime taking into account the vulnerability of its users and that it won't increase flood risk elsewhere. You need to refer to your flood risk assessment and your local authority's strategic flood risk assessment in your response.

### Plans

You need to provide a location plan showing:

- street names
- any rivers, streams, ponds, wetlands or other bodies of water
- other geographical features, e.g. railway lines or local landmarks such as schools or churches

You also need to provide a site plan showing:

- the existing site
- your development proposal
- any structures that could affect water flow, e.g. bridges, embankments

### Surveys

You need to provide surveys showing

- the existing site levels and the levels of your proposed development
- a cross section of the site showing finished floor or road levels and any other levels that inform the flood risk, e.g. existing raised banks and flood defence walls
- your site in relation to its surroundings

Site levels need to be stated in relation to the Ordnance Datum (the height above average sea level).

### Assessments

You should consider the following aspects of flood risk in your assessment.

#### Assess flood risk

Assess what the risk would be to your development if there was a flood. Consider flooding from other sources (e.g. surface water drains, a canal) as well as from rivers and the sea and include an allowance for climate change.

State in your assessment the estimated level for your site, i.e. the 1 in 100 year river flood level or the 1 in 200 year tidal flood level.

You need to include an estimate of the:

- duration of a flood

- rate of surface water runoff
- order in which areas of the site would be flooded
- consequences for people living on or using the site
- details of past floods where this information is available

#### Assess surface water runoff

You need to assess surface water runoff from the site and provide:

- an estimate of how much surface water runoff (excess water that flows over surfaces) your development will generate – both the volume and the rate of the runoff
- details of the existing methods for managing surface water runoff, e.g. drainage to a sewer
- your plans for managing surface water and for making sure there's no increase in the level of surface water runoff

Surface water runoff describes flooding from sewers, drains, groundwater, and runoff from land, small water courses and ditches that occurs as a result of heavy rainfall.

#### Managing the flood risk

You need to state in your assessment:

- details of existing flood resistance and resilience measures on your site – ask the Environment Agency or your lead local flood authority about these
- the capacity of drains or sewers (existing and proposed) on your site – ask your local water company about this

State how your proposed design will reduce flood risk. Include details of how people will leave buildings during a flood and an explanation of how:

- raised flood embankments or changes to ground levels could affect water flow
- your development could affect rivers and their floodplain or coastal areas

Also explain what the residual risks will be to your site after any necessary flood defences have been built and how you plan to manage these risks.

Check if you need to carry out extra flood resistance and resilience measures to reduce flood risk and state this in your assessment if so (see below).

#### Developments on or near main rivers

State in your assessment if you need Environment Agency flood defence consent and if you've applied for it if so.

#### Sites within the functional flood plain

If your site falls within the functional flood plain (land where water has to flow or be stored in times of flood), you need to state this.

Only water compatible developments or essential infrastructure developments that have met the requirements of the exception test are allowed in the functional floodplain.

Show that any water compatible or essential infrastructure developments have been designed to:

- stay safe and operational during a flood
- avoid blocking water flows or increasing flood risk elsewhere
- avoid loss of floodplain storage (i.e. loss of land where flood waters used to collect).

#### Extra flood resistance and resilience measures

Areas at little or no risk of flooding from any source should always be developed in preference to areas at

higher risk. You must make every effort to locate your development in an area that has little or no risk of flooding.

When developments can't be located in a lower flood risk area, you need to consider flood resistance and resilience measures if you can't raise your development's ground floor levels above the estimated flood level for the site.

Which flood resistance and resilience measures you need to take depends on the estimated depth in metres (m) that flood water will reach in your building.

State that you have met the necessary requirements in your flood risk assessment.

#### Water depth up to 0.3m

Design your building or development to keep water out as much as possible. Do this by using materials that have low permeability (i.e. materials that water cannot pass through such as impermeable concrete).

#### Water depth from 0.3m to 0.6m

Design your building or development to keep water out (unless there are structural concerns) by:

- using materials with low permeability to at least 0.3m
- using flood resilient materials (e.g. lime plaster) and design (raised electrical sockets)
- making sure there's access to all spaces to enable drying and cleaning

#### Water depth above 0.6m

Design your building or development to allow water to pass through the property to avoid structural damage by:

- using materials with low permeability to at least 0.3m
- making it easy for water to drain away after flooding
- making sure there's access to all spaces to enable drying and cleaning

### **Flood Risk Standing Advice**

Minor extensions standing advice:

You need to provide a plan showing the finished floor levels and the estimated flood levels.

Make sure that floor levels are either no lower than existing floor levels or 300 millimetres (mm) above the estimated flood level. If your floor levels aren't going to be 300mm above existing flood levels, you need to check with your local planning authority if you also need to take flood resistance and resilience measures.

State in your assessment all levels in relation to Ordnance Datum (the height above average sea level). You may be able to get this information from the Ordnance Survey. If not, you'll need to get a land survey carried out by a qualified surveyor.

Your plans need to show how you've made efforts to ensure the development won't be flooded by surface water runoff, e.g. by diverting surface water away from the property or by using flood gates.

If your minor extension is in an area with increased flood risk as a result of multiple minor extensions in the area, you need to include an assessment of the off-site flood risk. Check with your local planning authority if this applies to your development.

Make sure your flood resistance and resilience plans are in line with the guidance on improving the flood performance of new buildings. Refer to following website for further information:

[www.gov.uk/government/publications/flood-resilient-construction-of-new-buildings](http://www.gov.uk/government/publications/flood-resilient-construction-of-new-buildings)

#### Vulnerable developments standing advice

For all relevant vulnerable developments (i.e. more vulnerable, less vulnerable and water compatible), you must follow the advice for:

- surface water management
- access and evacuation
- floor levels

### **Surface water management**

Your plans for the management of surface water need to meet the requirements set out in either your local authority's strategic flood risk assessment.

They also need to meet the requirements of the approved building regulations Part H: drainage and water disposal. Read section H3 rainwater drainage.

You need to get planning permission to use a material that can't absorb water (e.g. impermeable concrete) in a front garden larger than 5 square metres.

### Access and evacuation

You need to provide details of your emergency escape plans for any parts of a building that are below the estimated flood level.

Make sure that your plans show:

- single storey buildings or ground floors that don't have access to higher floors can access a space above the estimated flood level, e.g. higher ground nearby
- basement rooms have clear internal access to an upper level, e.g. a staircase
- occupants can leave the building if there's a flood and there's enough time for them to leave after flood warnings

### Floor levels

You need to provide both the:

- average ground level of your building
- finished floor level of the lowest habitable room in your building

Ground floor levels should be a minimum of whichever is higher of:

- 300 millimetres (mm) above the general ground level of the site
- 600mm above the estimated river or sea flood level

State in your assessment all levels in relation to Ordnance Datum (also known as height above average sea level). You may be able to get this information from the [Ordnance Survey](#). If not, you'll need to get a land survey carried out by a qualified surveyor.

If you cannot raise floor levels above the estimated flood level, you need to consider extra flood resistance and resilience.